

S. No.	Name	Designation	Grade	Department	Division	Location	Salary, Benefit, Perks and Privileges	Duties (functions of relevant department/division)
1)	SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN ("THE COMMISSIOIN")							
1	Akif Saeed	Chairman	-	Commission	-	HQ	As per approval of Policy Board	The Commission comprises of Commissioners including the Chairman and exercises such powers/ performs such functions as provided in the Securities and Exchange Commission of Pakistan Act, 1997 ("SECP Act"). In terms of SECP Act, Chairman is the chief executive officer of the Commission and, together with the other Commissioners, is responsible for the day to day administration of the affairs of the Commission and, subject to the regulations made by the Commission, is assisted by the other Commissioners in carrying out the functions of the Commission.
2	Aamir Ali Khan	Commissioner	-	Commission	-	HQ	As per approval of Policy Board	
3	Sadia Khan	Commissioner	-	Commission	-	HQ	As per approval of Policy Board	
4	Abdul Rehman Warraich	Commissioner	-	Commission	-	HQ	As per approval of Policy Board	
5	Mujtaba Ahmad Lodhi	Commissioner	-	Commission	-	HQ	As per approval of Policy Board	
2)	COMMISSION SECRETARIAT							
1	Bilal Rasul	Executive Director / Secretary to the Commission	G	Commission Secretariat	-	HQ	As per relevant grade	<p>Commission's Secretariat is responsible to:-</p> <ul style="list-style-type: none"> ▪ Perform all the duties and responsibilities of secretarial nature as prescribed under (i) the Securities and Exchange Policy Board (Conduct of Business) Regulations, 2000 and (ii) the Securities and Exchange Commission of Pakistan (Conduct of Business) Regulations, 2000 which are mainly related to conduct of business of the Commission, Policy Board, and its Committee i.e. arrangements of the meetings, issuance of notice, agenda, circulation of working papers and drafting all proceedings. ▪ Issue notifications/SRO on behalf of the Commission. ▪ Represent the Commission on different forum. ▪ Accept service of all notices and other documents on behalf of the Commission ▪ Certify the decisions made by the Commission for use of all concerned.
2	Ejaz Alam Khan	Joint Director	D	Commission Secretariat	-	HQ	As per relevant grade	
3)	CHAIRMAN'S SECRETARIAT							
1	Musarat Jabeen	Executive Director	G	Chairman's Secretariat	Chairman's Secretariat	HQ	As per relevant grade	<p>Chairman's Secretariat:</p> <ul style="list-style-type: none"> ▪ Central point for communication with external stakeholders.
2	Muhammad Asif Jalal Bhatti	Executive Director	G	Chairman's Secretariat	Chairman's Secretariat	HQ	As per relevant grade	

3	Bushra Aslam	Executive Director	G	Chairman's Secretariat	Chairman's Secretariat	HQ	As per relevant grade	<ul style="list-style-type: none"> In-house coordination with all departments and consolidation of responses at Commission level. Monitoring and tracking of tasks assigned by the Chairman. Encouraging new products through regulatory sandbox and strengthening sustainability initiatives.
4	Amir Mohammad Khan Afridi	Director	F	Chairman's Secretariat	Chairman's Secretariat	HQ	As per relevant grade	
5	Zohra Sarwar Khan	Joint Director	D	Chairman's Secretariat	Chairman's Secretariat	HQ	As per relevant grade	
6	Khurram Shahzad	Deputy Director	C	Chairman's Secretariat	Chairman's Secretariat	HQ	As per relevant grade	
4)	Islamic Finance Department							
1	Tariq Naseem	Registrar Modaraba/HOD	E	Islamic Finance Department	Chairman's Secretariat	HQ	As per relevant grade	<p>Islamic Finance Department:</p> <p>It creates regulatory framework for Shari'ah-compliant companies to ensure that they undertake their business activities in accordance with Shari'ah.</p>
2	Moeed Hassan	Deputy Director	C	Islamic Finance Department	Chairman's Secretariat	HQ	As per relevant grade	
3	Muhammad Umer	Assistant Director	B	Islamic Finance Department	Chairman's Secretariat	HQ	As per relevant grade	
4	Faaiz Naseer	Assistant Director	B	Islamic Finance Department	Chairman's Secretariat	HQ	As per relevant grade	
4	Malik Asif Karim Awan	Assistant Director	B	Islamic Finance Department	Chairman's Secretariat	HQ	As per relevant grade	
6	Tauqeer Ahmad Sipra	Management Executive	A	Islamic Finance Department	Chairman's Secretariat	HQ	As per relevant grade	
5)	Media and Translation Department							
1	Muhammad Sajid Gondal	Joint Director	D	Media and Translation Department	Chairman's Secretariat	HQ	As per relevant grade	<p>Media and Translation Department:</p> <ul style="list-style-type: none"> Maintaining liaison with media to provide information and responses to queries Facilitating awareness generation about SECP functions and reforms through social media and other platforms Publishing SECP's Annual report and other publications Managing advertisements etc.
6)	Anti Money Laundering Department							
1	Najia Ubaid	Additional Director/HOD	E	Anti Money Laundering Department	-	HQ	As per relevant grade	<p>AML key functions:</p>
2	Tanzila Nisar Mirza	Additional Director	E	Anti Money Laundering Department	-	HQ	As per relevant grade	

3	Shaukat Mahmood Malik	Advisor	-	Anti Money Laundering Department	-	HQ	As per relevant grade	<ul style="list-style-type: none"> Monitoring compliance of SECP regulated sectors with the SECP AML/CFT Regulations 2020 framed under the AML Act 2010. Representing SECP in Financial Action Task Force (FATF) compliance matters related to implementation of FATF action plan and Mutual Evaluation Report(MER) of Pakistan. Proposing laws, regulations and developing guidelines for effective implementation of FATF standards and compliance by SECP regulated sectors. Acting as a liaison between SECP and other supervisory and law enforcement authorities on AML/CFT compliance matters.
7) Appellate Bench Registry								
1	Raja Farukh Ahmad	Deputy Director	C	Appellate Bench Registry	-	HQ	As per relevant grade	<p>Appellate Bench is responsible to:</p> <ul style="list-style-type: none"> Hear Appeals under Section 33 of the SECP Act, 1997, against orders of the Commission passed by one Commissioner or an officer authorized in this behalf by the Commission; Hear Review application under Section 32B(2) of the SECP Act, 1997, filed by any person aggrieved by an order of the Appellate Bench from which an appeal is allowed under section 34 but no appeal has been preferred; Issue hearing notices to respective parties [Appellant(s)/Respondent(s)] of appeal; Conduct hearings of Appeal and Review Applications; Disposal of Appeals/Review by issuing Appellate Bench's orders; Issuance of certified copies of Appellate Bench's order upon receipt of application and prescribed fee.
8) Information Security Department								
1	Adnan Dawood	Director/HOD	F	Information Security Department	-	HQ	As per relevant grade	<p>Information Security Department (ISD):</p> <ul style="list-style-type: none"> Develop and govern Information Security Management System (ISMS) within the organization. Develop Information security governance and policy framework for the organization Govern Information Security risk management and compliances.
2	Muhammad Raza Ali Khan	Deputy Director	C	Information Security Department	-	HQ	As per relevant grade	
3	Syeda Hadisa Nayab Rizvi	Deputy Director	C	Information Security Department	-	HQ	As per relevant grade	

								<ul style="list-style-type: none"> ▪ Govern Information security surveillance, monitoring, assessments and incident management ▪ Manage Sectoral CERT and issue advisories and guidelines to the regulated entities and partner organizations.
9)	Information Systems and Technology Department							
1	Atif Sajjad	Director/HOD	F	Information Systems and Technology Department	-	HQ	As per relevant grade	<p>Information Systems and Technology Department:</p> <p>1- Technology Service Management, Digital Transformation & Quality Assurance Wing</p> <p>a) Own Assigned Services</p> <p>b) Have SLA's in place for all services in production.</p> <p>c) Execute Change Management.</p> <p>d) Execute Incident Management.</p> <p>e) Execute Release Management.</p> <p>f) Initiate CIP's (Constant improvement plan) and SIP's (system improvement plan).</p> <p>g) Capacity Planning.</p> <p>h) Prepare BRD's in consultation with stakeholders.</p> <p>i) Conduct Service Review Meeting on monthly basis with Stakeholder to identify CIP's and SIP's</p> <p>j) Execute Software Testing Lifecycle.</p> <p>k) Verify the Correct Implementation of Business Requirements.</p> <p>l) Defect Reporting and Validation.</p> <p>m) Assure the quality of software before product release</p> <p>n) Enhance User Customer Experience by improve the quality of Software</p> <p>o) Test Automation and execution.</p> <p>2- RUN (Infrastructure and Production Support)</p> <p>a) Ensure smooth functioning and operations of IT infrastructure at SECP Head Office and Company Registration Offices (CROs) across Pakistan.</p> <p>b) Designing of Infrastructure solutions (software/hardware) based on organizational needs including identification planning and implementation of cost-effective technology solutions for the organization.</p>
2	Amir Saleem	Director	F	Information Systems and Technology Department	-	HQ	As per relevant grade	
3	Uzma Khan	Additional Director	E	Information Systems and Technology Department	-	HQ	As per relevant grade	
4	Muhammad Azim	Joint Director	D	Information Systems and Technology Department	-	HQ	As per relevant grade	
5	Furqan-ud-Din Faisal	Joint Director	D	Information Systems and Technology Department	-	HQ	As per relevant grade	
6	Haroon Rashid	Joint Director	D	Information Systems and Technology Department	-	HQ	As per relevant grade	
7	Usman Ahmad Malik	Joint Director	D	Information Systems and Technology Department	-	HQ	As per relevant grade	
8	Shoaib Shaikh	Additional Joint Director	C1	Information Systems and Technology Department	-	HQ	As per relevant grade	
9	Muhammad Imran Khalil	Additional Joint Director	C1	Information Systems and Technology Department	-	HQ	As per relevant grade	
10	Huma Zahra	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
11	Hussain Sorosh	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
12	Kiran Shehzadi	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
13	Hammad Ahmed	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
14	Samreen Masood	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
15	Muhammad Younas	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
16	Abdul Waheed Khan	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	

17	Mohsin Zahoor	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	<p>c) Evaluating existing Infrastructure solutions for optimized resource utilization and capacity planning of Infrastructure resources.</p> <p>d) Manage services including Authentication and Authorization, User Access Management, Virtual Infrastructure, Messaging/ Collaboration Suite, File Services, Data Backup, Data Replication, Disaster Recovery, Storage Solution Management, SSL Certificate Management, Change and Patch Management, Data Center Management and Operations, Services Monitoring etc.</p> <p>e) Production support of systems including eServices suite, CRCS, and STR. Operational support to internal and external stakeholders through multiple channels including emails, Service Desk Management System (SDMS), eServices Support Portal, PMDU, WhatsApp, and complaints/ queries routed through other departments of the Commission.</p> <p>3- Network and Communications Wing</p> <p>a) Establishing, managing and troubleshooting local area network (LAN), wireless LAN (WLAN) & wide area network (WAN)</p> <p>b) Providing secure and effective communication channels for the entire Commission</p> <p>c) Ensuring data confidentiality, integrity and availability of the Commission</p> <p>d) Secure publishing of all internal and external services for all users</p> <p>e) Establishing and managing secure integration with external entities</p> <p>f) Biannual DR drills to ensure business continuity</p> <p>g) Performing vulnerability and penetration testing (VAPT) periodically</p> <p>h) Deployment and management of enterprise Antivirus suite for clients and servers</p> <p>i) Deployment and management of data leak prevention (DLP) solution</p> <p>j) Managing IP telephony for the entire Commission</p> <p>k) SLA and Support management</p> <p>l) Network Security reporting and reviewing</p> <p>m) Audio/video conferencing facilities for the entire Commission and the other stake holders.</p>
18	Muhammad Arbab Akram	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
19	Muhammad Umair	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
20	Shakir Ullah	Deputy Director	C	Information Systems and Technology Department	-	HQ	As per relevant grade	
21	Sohail Anjum	Assistant Director	B	Information Systems and Technology Department	-	HQ	As per relevant grade	
22	Muhammad Ahmad	Assistant Director	B	Information Systems and Technology Department	-	HQ	As per relevant grade	
23	Ahmed Yar Khan	Assistant Director	B	Information Systems and Technology Department	-	HQ	As per relevant grade	
24	Qaiser Tariq	Assistant Director	B	Information Systems and Technology Department	-	HQ	As per relevant grade	
25	Bushra Waris	Assistant Director	B	Information Systems and Technology Department	-	HQ	As per relevant grade	
26	Farhad Javed	Assistant Director	B	Information Systems and Technology Department	-	HQ	As per relevant grade	
27	Muhammad Adil Latif	Management Executive	A	Information Systems and Technology Department	-	HQ	As per relevant grade	
28	Hafsa Yameen Sheikh	Management Executive	A	Information Systems and Technology Department	-	HQ	As per relevant grade	
29	Fatima	Management Executive	A	Information Systems and Technology Department	-	HQ	As per relevant grade	
30	Daniya Shaikh	Management Executive	A	Information Systems and Technology Department	-	HQ	As per relevant grade	
31	Haroon Nawaz	Deputy Director	C	Information Systems and Technology Department	-	Karachi	As per relevant grade	
32	Muhammad Shahzad Sarwar	Deputy Director	C	Information Systems and Technology Department	-	Lahore	As per relevant grade	

							<p>4. PMO Wing</p> <p>4.1 Digital & IT Strategy, Future Roadmap and Technical Consultancy</p> <ul style="list-style-type: none">• Review/Update Digital & IT Strategy.• Future Technologies Roadmap• System Architecture• DevOps/SRE design and implementation Roadmap• Technical Reviews/Assessments• Code walkthroughs• RFP Reviews• Integration mechanism and techniques• Comparative Analysis of latest technologies• Peer Organizational/jurisdictions comparison• Resource Hiring – Technical interviews <p>4.2 LEAP PMO</p> <p>Policies/Procedures/Methodologies</p> <ul style="list-style-type: none">• Design/Review/Implementation of Project Management Office• Review/Recommendation on all change requests• LEAP PMO Policies/procedures central repository/portal• Review LEAP PMO Policies/Procedures/methodologies <p>4.3 Internal/External Audit Management</p> <ul style="list-style-type: none">• Internal/External Audits - Coordination and Closure of Observations <p>4.4 Digital Transformation</p> <ul style="list-style-type: none">• Project/program management• Conducting/Managing design thinking workshops/seminars/Presentations• Stakeholders engagement management• Process Flow/ design optimization• Scope Management• Review RFP/Technical Evaluation Criteria/ Contracts/agreements
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								<ul style="list-style-type: none"> • Technology Selection - future roadmap and finalization of solution building blocks • Monitoring and controlling technology implementation • Data/services Migration Strategies • Data Quality Management • Communication/Change Management • Risk Management • KPI identification, mapping to services and impact measurement • Finalization and execution management of projects branding <p><u>BUILD WING</u></p> <ul style="list-style-type: none"> • Prepare project plan, build project breakdown structures, perform project tracking and controlling • Design, develop and architect new software systems • Troubleshoot, debug and upgrade existing software systems • Provide operational and implementation support for running systems • Manage eServices and CRCS platform testing and production environments, plan component upgrades, look after platform performance tuning and services delivery concerns • Build AdHoc reports for business departments • Software release preparation, release deployment and release verification • Perform POC for technology selection and adoption • Integrate software systems and third-party programs • SECP Website management and operational support • Ensure Stock market data processing, troubleshooting and alert generation • SharePoint administration and database management • TFVC Source Repository administration and management
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								<ul style="list-style-type: none"> • Application Security and authentication(User & Roles Management) • Monitoring dotnet Server and Services of IIS • Upgrades/Configure Application Platforms (SharePoint, SQL server, WordPress, TFVC, etc.) • DB2 database management and administration <ul style="list-style-type: none"> ○ Software Installation and Maintenance ○ Manage database security and authentication ○ Database troubleshooting and performance tuning ○ Build database design and query optimization ○ OS upgrades and server hardening and patching • Oracle database management and administration <ul style="list-style-type: none"> ○ Software Installation and Maintenance ○ Manage database security and authentication ○ Perform database backups and recovery ○ Data Extraction, Transformation and Loading ○ Monitor database space utilizations, database uptime and alert generation ○ Maintain database audit and archive logs ○ Capacity planning, troubleshooting and performance tuning ○ Propose database design and code optimizations, debugging and making/recommending procedures ○ Monitoring and resourcing database profiles, roles, privileges and users ○ OS upgrades and server hardening and patching, external storage ○ Managing automation via jobs, chains and other constructs ○ Preparing/packaging data for application layer (BLASRS) ○ Creating and Managing gateway to receive data from other databases DB2/SQL • Oracle ERP Solution
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								<ul style="list-style-type: none"> ○ Perform ERP database management and administration ○ Build new custom modules and reporting services ○ Provide functional & operational support of ERP core systems and custom modules ○ Perform application administration & user management ○ Enhancements of existing ERP functions & configurations
10)	Internal Audit and Compliance Department							
1	Zahida Rafiq	Additional Director/HOD	E	Internal Audit and Compliance Department	-	HQ	As per relevant grade	<p>Internal Audit and Compliance Department:</p> <ul style="list-style-type: none"> ▪ Economic and efficient use of resources, ▪ Effectiveness of functions against stated objectives and strategies, ▪ Adequacy and effectiveness of systems and controls, ▪ Compliance with policies, plans, procedures, laws and regulations, and generally accepted accounting principles, ▪ Adequacy and effectiveness of system design and implementation, ▪ Pre Audit of final settlement of employees (leave encashment, pension, gratuity and provident fund) ▪ Reliability and integrity of financial and other operating information and the means used to identify, measure, classify and report such information, ▪ Safeguarding of assets. ▪ Reviews outside the annual program includes special investigation and management consultancies as assigned by the Commission.
2	Atif Ejaz Ahmed	Additional Joint Director	C1	Internal Audit and Compliance Department	-	HQ	As per relevant grade	
3	Bushra Kiani	Audit Officer	A	Internal Audit and Compliance Department	-	HQ	As per relevant grade	
11)	International Relations Department							
1	Nazish Zubair	Additional Joint Director	C1	International Relations Department	-	HQ	As per relevant grade	<p>International Relations Department:</p> <ul style="list-style-type: none"> ▪ Central point for communication with international bodies ▪ Advocacy through innovation office aimed at promoting startups
2	Muhammad Assad Saeed	Additional Joint Director	C1	International Relations Department	-	HQ	As per relevant grade	
3	Syed Rizwan Ali	Assistant Director	B	International Relations Department	-	HQ	As per relevant grade	

4	Raasti Shabbir	Assistant Director	B	International Relations Department	-	HQ	As per relevant grade	<ul style="list-style-type: none"> Promote development of SECP's regulated sectors through pushing for reforms and promoting awareness Improvement of Systemic Risk Framework Promote cooperation and exchange of Information.
12)	Adjudication Department-I							
1	Shahzad Afzal Khan	Director/HOD	F	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	<p>Adjudication-I Department:</p> <p>The adjudication function performed by the Commission is in the nature of quasi-judicial proceedings and based on principles of natural justice. The Commission, to attain the objective of transparency and impartiality, has established an independent Adjudication Division with the mandate to perform adjudicatory functions as well as to manage the litigation of the Commission. The Adjudication Division has three departments namely Adjudication Department-I, Adjudication Department-II and Adjudication, Advisory & Litigation Department.</p> <ul style="list-style-type: none"> The scope of Adjudication Department-I encompasses adjudication function for listed companies and licensed entities except for the companies licensed under Section 42 of the Companies Act 2017. The adjudication powers related to Adjudication Department - I are exercised at the level of Head of Department and Head of Wing. The aggrieved party against an adjudication order may file a review under Section 32B of the SECP Act, 1997. The aggrieved party against an adjudication order may also file an appeal under section 33 of the SECP Act, 1997, before the Appellate Bench of the Commission.
2	Hammad Javed	Additional Director	E	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
3	Amir Saleem	Additional Director	E	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
4	Shafiq-ur-Rehman	Additional Joint Director	C1	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
5	Asima Wajid	Additional Joint Director	C1	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
6	Muhammad Anwar Hashmi	Additional Joint Director	C1	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
7	Rizwan-ul-Haq	Additional Joint Director	C1	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
8	Muhammad Akram	Assistant Director	B	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
9	Sardar Sohaib Amin	Assistant Director	B	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
10	Muhammad Faisal	Assistant Director	B	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
11	Adnan Naseer	Assistant Director	B	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
12	Maheen Najmi	Assistant Director	B	Adjudication Department-I	Adjudication Division	HQ	As per relevant grade	
13)	Adjudication Department-II							
1	Maheen Fatima	Director/HOD	F	Adjudication Department-II	Adjudication Division	HQ	As per relevant grade	<p>Adjudication-II Department:</p> <p>The adjudication function performed by the Commission is in the nature of quasi-judicial proceedings and based on principles of natural justice. The Commission, to attain the objective of transparency and impartiality, has established</p>
2	Muhammad Farooq	Additional Director	E	Adjudication Department-II	Adjudication Division	HQ	As per relevant grade	
3	Muhammad Jamil Aamer	Deputy Director	C	Adjudication Department-II	Adjudication Division	HQ	As per relevant grade	

4	Muhammad Javaid	Assistant Director	B	Adjudication Department-II	Adjudication Division	HQ	As per relevant grade	<p>an independent Adjudication Division with the mandate to perform adjudicatory functions as well as to manage the litigation of the Commission. The Adjudication Division has three departments namely Adjudication Department-I, Adjudication Department-II and Adjudication, Advisory & Litigation Department.</p> <ul style="list-style-type: none"> The scope of Adjudication Department-II encompasses adjudication function for entire unlisted corporate sector including filing defaults by listed and licensed companies. The adjudication powers related to Adjudication Department-II are exercised at different levels including, Head of the Department, Wing Head, Director and three adjudication officers posted at CROs in Karachi, Lahore and Islamabad. The aggrieved party against an adjudication order may file appeal under section 33 of the SECP Act, 1997, before the Appellate Bench of the Commission or the registrar designated by the Commission under section 480 of the Companies Act, 2017, as the case may be. 	
5	Abdul Qayyum	Joint Registrar/ Adjudication Officer	D	Adjudication Department-II	Adjudication Division	Islamabad	As per relevant grade		
6	Zia-ul-Rasheed Abbasi	Additional Registrar/ Adjudication Officer	E	Adjudication Department-II	Adjudication Division	Karachi	As per relevant grade		
7	Mohsin Syed	Joint Registrar/ Adjudication Officer	D	Adjudication Department-II	Adjudication Division	Lahore	As per relevant grade		
8	Ali Junaid	Management Executive	A	Adjudication Department-II	Adjudication Division	Lahore	As per relevant grade		
14) Policy Regulation and Development Department (Insurance)									
1	Waseem Khan	Director/HOD	F	Policy Regulation and Development Department (Insurance)	Insurance Division	HQ	As per relevant grade		<p>PRDD-Insurance Division:</p> <ul style="list-style-type: none"> Formulating and implementing an effective regulatory regime for Insurance Sector which is responsive to the local environment and international best practices. Initiatives for insurance sector development in line with the Commission's objective of market development, digitalization and product innovation. Provision of regulatory approval as per the requirements of Insurance Ordinance, 2000 and Companies Act, 2017 including launch of new life insurance products. Continuous review of insurance regulatory framework, solvency requirements and policyholder protection regime.
2	Shazia Rehman	Joint Director	D	Policy Regulation and Development Department (Insurance)	Insurance Division	HQ	As per relevant grade		
3	Talal Abdul Rauf Usmani	Joint Director	D	Policy Regulation and Development Department (Insurance)	Insurance Division	HQ	As per relevant grade		
4	Wazir Zada Yasir Almas Khan	Additional Joint Director	C1	Policy Regulation and Development Department (Insurance)	Insurance Division	HQ	As per relevant grade		
5	Jibran Paracha	Additional Joint Director	C1	Policy Regulation and Development Department (Insurance)	Insurance Division	HQ	As per relevant grade		
6	Usman Mahmood	Assistant Director	B	Policy Regulation and Development Department (Insurance)	Insurance Division	HQ	As per relevant grade		

7	Sahar Kanwal	Management Executive	A	Policy Regulation and Development Department (Insurance)	Insurance Division	HQ	As per relevant grade	
15)	Corporate Registry Department							
1	Mubasher Saeed Saddozai	Executive Director/HOD	G	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	<u>CRD:</u> <ul style="list-style-type: none"> ▪ To promote corporatization by providing conducive environment for corporatization. ▪ To create one stop shop for centralized business registration. ▪ To regularize the companies registered with the SECP through enforcement of the provisions of the Companies Act, 2017. ▪ To take digital initiatives for ease of doing business and to create business enabling environment. ▪ To take regulatory reforms keeping in view best international practices while taking stakeholders on board. ▪ To maintain updated register of companies registered with the SECP.
2	Waseem Ahmad Khan	Director/Registrar of Companies	F	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
3	Muhammad Anas Noman	Additional Registrar	E	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
4	Saeedullah Khan	Additional Registrar	E	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
5	Sidney Custodio Pereira	Additional Director	E	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
6	Fozia Parveen	Joint Registrar	D	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
7	Saila Jamshaid	Joint Registrar	D	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
8	Osman Syed	Joint Director	D	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
9	Ghulam Shabir Mashori	Joint Registrar	D	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
10	Muhammad Suhail	Additional Joint Registrar	C1	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
11	Muhammad Nasir Gul	Additional Joint Director	C1	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
12	Saima Khalil	Additional Joint Registrar	C1	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	
13	Muhammad Shamaoun	Additional Joint Director	C1	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade	

14	Pervaiz Iqbal	Deputy Registrar	C	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
15	Muhammad Tariq Rasheed	Deputy Registrar	C	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
16	Faiza Rasheed	Deputy Registrar	C	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
17	Muhammad Moeen Rajput	Deputy Registrar	C	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
18	Amna Farrukh	Deputy Registrar	C	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
19	Zainab Arshad	Assistant Director	B	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
20	Aamir Saleem	Assistant Registrar	B	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
21	Muhammad Shoaib Khan	Assistant Registrar	B	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
22	Hina Razzaq	Management Executive	A	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
23	Nida Mansoor Khan	Management Executive	A	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
24	Zeest Bilal	Management Executive	A	Corporate Registry Department	Licensing Registration Division	HQ	As per relevant grade		
	CRO, Islamabad								
1	Muhammad Naeem Khan	Additional Registrar	E	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		As per CRD's functions
2	Faisal Lateef Khawaja	Joint Registrar	D	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
3	Muhammad Sadiq Shah	Additional Joint Registrar	C1	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		

4	Zia ul Haq	Additional Joint Director	C1	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
5	Nauman Khan	Additional Joint Registrar	C1	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
6	Touseef Ahmad	Deputy Registrar	C	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
7	Haris Jahangir	Assistant Registrar	B	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
8	Taimoor-ul-Hassan Janjua	Assistant Registrar	B	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
9	Zohab Kaleem	Assistant Registrar	B	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
10	Raza Khan	Assistant Registrar	B	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
11	Mahwish Tabassum	Assistant Registrar	B	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
12	Aaqil Ali	Management Executive	A	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
13	Ahsan Ali Khan	Management Executive	A	CRO, Islamabad	Licensing Registration Division	Islamabad	As per relevant grade		
CRO, Karachi									
1	Syed Iftikhar-ul-Hassan Naqvi	Additional Registrar	E	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		As per CRD's functions
2	Rahat Wajid	Joint Registrar	D	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
3	Kashif Mahmood	Additional Joint Registrar	C1	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
4	M. Nasir Khan Yousuf Zai	Additional Joint Registrar	C1	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		

5	Osama Ahmad Osmani	Deputy Registrar	C	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
6	Tausif Ahmed Khan	Deputy Registrar	C	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
7	Shahrukh Artani	Deputy Registrar	C	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
8	Akhtar Hussain	Assistant Registrar	B	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
9	Farhat Nasreen Mushtaq	Assistant Registrar	B	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
10	Ishtiaque Siddiqui	Assistant Registrar	B	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
11	Bushra Shamim	Assistant Registrar	B	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
12	Danish Raza	Assistant Registrar	B	CRO, Karachi	Licensing Registration Division	Karachi	As per relevant grade		
CRO, Lahore									
1	Shahbaz Sarwar	Additional Registrar	E	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		As per CRD's functions
2	Sidra Mansur	Additional Registrar	E	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
3	Asif Muzaffar Sheikh	Joint Registrar	D	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
4	Syed Zargham Haider	Joint Registrar	D	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
5	Saboohi Israr	Additional Joint Registrar	C1	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
6	Muhammad Hasan Zaidi	Additional Joint Registrar	C1	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		

7	Salman Saeed	Additional Joint Registrar	C1	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
8	Rizwan Saeed Dar	Additional Joint Registrar	C1	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
9	Ali Wahid Khan	Deputy Registrar	C	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
10	Tahir Saif-Ud-Din	Assistant Registrar	B	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
11	Usman Saeed	Assistant Registrar	B	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
12	Ayesha Farooq	Assistant Registrar	B	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
13	Rashid Ali	Management Executive	A	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
14	Muhammad Afzal Imtiaz	Management Executive	A	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
15	Muhammad Nadeem Abbasi	Management Executive	A	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
16	Muhammad Husnain Asif	Management Executive	A	CRO, Lahore	Licensing Registration Division	Lahore	As per relevant grade		
CRO, Multan									
1	Kashif Islam	Additional Joint Registrar	C1	CRO, Multan	Licensing Registration Division	Multan	As per relevant grade		As per CRD's functions
2	Iqbal Ghaus	Assistant Registrar	B	CRO, Multan	Licensing Registration Division	Multan	As per relevant grade		
CRO, Peshawar									
1	Saqib Aslam	Deputy Registrar	C	CRO, Peshawar	Licensing Registration Division	Peshawar	As per relevant grade		As per CRD's functions

2	Muzamil Shah	Assistant Registrar	B	CRO, Peshawar	Licensing Registration Division	Peshawar	As per relevant grade	
3	Faizan Ali	Assistant Registrar	B	CRO, Peshawar	Licensing Registration Division	Peshawar	As per relevant grade	
	CRO, Faisalabad							
1	Ghulam Mustafa	Additional Joint Registrar	C1	CRO, Faisalabad	Licensing Registration Division	Faisalabad	As per relevant grade	As per CRD's functions
	CRO, Gilgit							
1	Nazim Ali	Deputy Registrar	C	CRO, Gilgit	Licensing Registration Division	Gigit Baltistan	As per relevant grade	As per CRD's functions
	CRO, Quetta							
1	Abdul Rehman Khan Tareen	Deputy Registrar	C	CRO, Quetta	Licensing Registration Division	Quetta	As per relevant grade	As per CRD's functions
16)	Licensing Department							
1	Nasir Askar	Director/HOD	F	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	<p><u>Licensing Department:</u></p> <p>One of the key objectives of the Securities and Exchange Commission of Pakistan is to ensure a robust and efficient capital market, capable of efficiently catering to the needs of the general investors and regulated entities. The Commission is entrusted with prime responsibility to achieve this overall objective by formulating and implementing an effective regulatory regime that is responsive to the local environment and international best practices.</p> <p>In view of this, the Commission has established an independent Licensing Department (LD) which is entrusted with the responsibility of ensuring an efficient and transparent licensing process and that the licensed entities are competent and proficient in providing their services.</p>
2	Hasnat Ahmad	Director	F	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
3	Muhammad Arshad	Additional Director	E	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
4	Usman Khalid	Additional Director	E	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
5	Muhammad Akram Qureshi	Joint Registrar	D	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
6	Syed Murtaza Abbas Naqvi	Joint Director	D	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	

7	Mian Muhammad Imran	Additional Joint Director	C1	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	<p>The LD has been mandated to perform the following functions:-</p> <p>I. <u>Licensing</u></p> <p>i. <u>Insurance Sector</u> including, Insurers, Insurance/Reinsurance Brokers, Insurance Surveyors, Window Takaful Operators, and Third-Party Administrators for Health Insurance.</p> <p>ii. <u>Capital Market Intermediaries</u> including Securities and Futures Brokers, Share Registrars, Credit Rating Companies, Bankers and Consultants to the Issue, Underwriters, and Debt Security Trustees.</p> <p>iii. <u>Non-Banking Finance Companies</u>, including Asset Management Companies, Pension Fund Manager, REIT Management Services, Private Equity & Venture Capital Fund Management Services, Investment Advisory Services, Leasing, Housing Finance Services, Investment Finance Services, Securities and Futures Advisor, and Non-Banking Microfinance.</p> <p>iv. <u>Companies with charitable and not for project objects under Section 42 of the Companies Act, 2017.</u></p> <p>II. <u>Fit and Proper Approvals</u></p> <p>Approvals for appointment of the Directors and the CEO of the regulated entities, as per the fit and proper criteria under the respective laws/rules/regulations.</p> <p>III. <u>Other Regulatory Approvals</u></p> <p>i. Change in Memorandum/Articles of Association</p> <p>ii. Change in sponsors/shareholders of regulated entities</p>
8	Khawaja Ahammad Masud	Additional Joint Director	C1	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
9	Asad Ullah	Deputy Director	C	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
10	Muhammad Hassan Shaikh	Assistant Director	B	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
11	Seema Saud	Management Executive	A	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
12	Shehrbano Shakaib	Management Executive	A	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	
13	Tehreem Ahmed	Management Executive	A	Licensing Department	Licensing Registration Division	HQ	As per relevant grade	

								iii. Transfer of shares in respect of regulated entities	
17)	Legal Advisory Department								
1	Muzzafar Ahmed Mirza	Executive Director	G	Legal Advisory Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade	Prosecution & Legal Affairs Division - LAD & LR&RD: Provides legislative support viz. drafts and reviews legislation, and liaises with the federal government about proposed legislation. It also gives legal opinions, vets and reviews laws, agreements, policies, show cause notices, notifications and orders.	
2	Muhammad Maroof Bhatti	Additional Director	E	Legal Advisory Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
3	Asif Khan	Deputy Director	C	Legal Advisory Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
18)	Legislative Review & Research Department								
1	Syed Irfan Ali Shah	Additional Director	E	Legislative Review & Research Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
3	Naveed Iqbal	Deputy Director	C	Legislative Review & Research Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
4	Saba Iqtidar	Assistant Director / Special Public Prosecutor	B	Legislative Review & Research Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
19)	Prosecution & Civil Litigation Department								
1	Ibrar Saeed	Additional Director	E	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		P&CLD: Performs the following functions: <ul style="list-style-type: none"> ▪ To manage the litigation of the SECP and through its Special Public Prosecutors (section 38 SECP Act, 1997) represents the Commission before all the legal forums throughout Pakistan including High Courts and Supreme Court of Pakistan. ▪ To regularly provide legal advisory services to Adjudication-I Department, Adjudication-II Department and Supervision Division which includes providing legal opinion on initiation of regulatory actions (i.e. examination, inspections and
2	Shahzad Ali Rana	Additional Joint Director / Special Public Prosecutor	C1	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
3	Syed Asif Ali	Additional Joint Director	C1	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
4	Adeel Peter	Deputy Director	C	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		
5	Muhammad Waseem Ahsraf Rana	Assistant Director	B	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade		

6	Syeda Muneeza Fatima	Management Executive	A	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	HQ	As per relevant grade	investigations etc.), vetting draft Show Cause Notices and Orders for such regulatory actions.
7	Syed Imran Ali Shamsi	Additional Joint Director / Special Public Prosecutor	C1	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	Karachi	As per relevant grade	
8	Syed Ebad Ur Rehman	Management Executive / Special Public Prosecutor	A	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	Karachi	As per relevant grade	
9	Husnain Arshad	Joint Director / Special Public Prosecutor	D	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	Lahore	As per relevant grade	
10	Hafiz Tallaha	Deputy Director / Special Public Prosecutor	C	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	Lahore	As per relevant grade	
11	Ruman Bilal	Assistant Director / Special Public Prosecutor	B	Prosecution & Civil Litigation Department	Prosecution & Legal Affairs Division	Lahore	As per relevant grade	
20)	Policy Regulation and Development Department (SMD)							
1	Asif Iqbal	Director/HOD	F	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	<p>PRDD-SMD:</p> <p>PRDD-SMD is responsible for policy making and development initiatives relating to capital market, commodity futures market and listed companies segment in Pakistan. The overall aim is to ensure development of a fair, efficient and transparent capital and commodities future market and corporate sector infrastructure in the country. The main activities performed by the department include:</p> <ol style="list-style-type: none"> i. Framing and making amendments in laws and regulations relating to the capital and commodities futures markets, listed companies segment and corporate governance matters, including the regulatory framework for licensing and operations of capital market infrastructure institutions and related market intermediaries. ii. Review of the relevant statutes and regulatory frameworks laid out thereunder to keep up with the
2	Sumaira Siddiqui	Additional Director	E	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
3	Mateen Ullah Khan	Additional Director	E	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
4	Aqeel Ahmad Zeeshan	Additional Director	E	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
5	Irfan Ali Shaikh	Joint Director	D	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
6	Zeeshan Nawaz	Additional Joint Director	C1	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
7	Hafiz M. Wajid Wahidi	Additional Joint Director	C1	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	

8	Zonish Inayat	Additional Joint Director	C1	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	<p>changing market dynamics and ensure that they remain robust and relevant in the context of changing market dynamics so that set of laws are not only robust and conducive to product/market development but also address the risks involved.</p> <p>iii. Process regulatory approvals/ extensions relating to entities under domain of PRDD including capital market infrastructure institutions, listed entities, commodity futures market institutions, market intermediaries and collateral management companies.</p> <p>iv. Issue directives/circulars/notifications wherever required to carry out the purposes of the Companies Act, 2017, Securities Act 2015, Futures Market Act 2016 and rules and regulations made thereunder.</p> <p>v. Adoption of accounting standard/IFRS after recommendations by ICAP and any exemptions therein.</p>
9	Khurram Hasan	Additional Joint Director	C1	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
10	Oneeb Ahmed	Assistant Director	B	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
11	Syed Mohsin Shah	Assistant Director	B	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
12	Sanallah Jatoi	Assistant Director	B	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
13	Ammar Awais	Management Executive	A	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
14	Haseeb Sarwar Satti	Management Executive	A	Policy Regulation and Development Department (SMD)	Securities Market Division	HQ	As per relevant grade	
21)	Primary Market Approvals and Development Department							
1	Sajjad Ali	Additional Director/HOD	E	Primary Market Approvals and Development Department	Securities Market Division	HQ	As per relevant grade	<p>PMADD:</p> <p>One of the key objectives of the Securities and Exchange Commission of Pakistan is to ensure a robust and efficient capital market, capable of efficiently catering to the needs of the general investors and regulated entities. The Commission is entrusted with prime responsibility to achieve this overall objective by formulating and implementing an effective regulatory regime that is responsive to the local environment and international best practices.</p> <p>In view of this, the Commission has established an independent and separate Primary Market Approvals and Development Department (PMADD) which is entrusted with the responsibility of ensuring an efficient and transparent processing of primary market transactions and development of regulatory framework, different polices and concepts/products for the primary market. PMADD has been mandated to perform the following functions:</p> <p>IV. Approvals</p>
2	Saima Ahrar	Joint Director	D	Primary Market Approvals and Development Department	Securities Market Division	HQ	As per relevant grade	
3	Syed Umar Yahya	Additional Joint Director	C1	Primary Market Approvals and Development Department	Securities Market Division	HQ	As per relevant grade	
4	Shuaib Ahmed	Additional Joint Director	C1	Primary Market Approvals and Development Department	Securities Market Division	HQ	As per relevant grade	
5	Umair Ahmed	Deputy Director	C	Primary Market Approvals and Development Department	Securities Market Division	HQ	As per relevant grade	
6	Husnain Ali	Management Executive	A	Primary Market Approvals and Development Department	Securities Market Division	HQ	As per relevant grade	

								xii. Structuring of Debt Securities Regulations, 2020 VI. <u>Other Regulatory Approvals</u> iv. Regulatory Approvals related to relaxations and exemptions under the relevant regulatory framework delegated to PMADD
22)	Policy Regulation and Development Department (SCD)							
1	Khalida Habib	Executive Director	G	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	<u>PRDD-SCD:</u> PRDD – SCD is responsible for development of Non-Bank Finance sector in Pakistan, administering NBFC Regulatory Framework and granting necessary regulatory approvals to NBFC Sector which includes Asset management companies, Private funds, Voluntary pension schemes, Microfinance companies, Discounting Services, Investment finance companies, Leasing, Housing finance and Securities and Future Advisors. Primary responsibilities include the following: i. Proposing regulations for the registration and regulating the working of collective investment schemes ii. Proposing regulations for disclosures of information in public interest iii. Ensuring development of a sound regulatory framework to counter and suppress illegal, improper and unfair practices in NBFC sector iv. Considering and suggesting reforms relating to the NBFC sector v. Conducting international research on various regulatory areas vi. Promoting and regulating development of Private Pension Schemes and Funds vii. Identify and address the factors resulting in systemic risk
2	Sajid Imran	Joint Director	D	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
3	Javed Akhter Malik	Joint Director	D	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
4	Amjad Iqbal Rao	Additional Joint Director	C1	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
5	Sabahat ul Ain	Additional Joint Director	C1	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
6	Ahmad Abdul Moiz Khawaja	Additional Joint Director	C1	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
7	Awais Ali	Deputy Director	C	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
8	Raja Ateeq Ahmed	Assistant Director	B	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
9	Qurat-ul-Ain Sultan	Management Executive	A	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
10	Uzma Imtiaz	Management Executive	A	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	
11	Sidra-tul-Muntaha	Management Executive	A	Policy Regulation and Development Department (SCD)	Specialized Companies Division	HQ	As per relevant grade	

23)	Offsite-I Department							Offsite-I Department: Offsite-I Department is primarily responsible for supervision and monitoring of entities/persons in following categories, through review of financial statements and other regulatory returns filed under relevant laws, and recommending for inspection/ investigations or enforcement actions, where required; 1. Securities and futures Brokers; 2. Self-Regulatory Organizations(i.e. PSX, PMEX, CDC, NCCPL); 3. Credit Rating Agencies; 4. Share Registrars; 5. Underwriter/ Balloters; 6. Non-Banking Finance Companies (NBFCs) and Modarabas; 7. Insurers, Insurance Surveyors and brokers; 8. Public unlisted companies and private companies.
1	Ayesha Riaz	Director/HOD	F	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
2	Khalida Perveen	Additional Director	E	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
3	Muhammad Ali	Additional Director	E	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
4	Aamir Qureshi	Joint Director	D	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
5	Neelum Aamir	Joint Director	D	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
6	Alshah Ali Raza	Additional Joint Director	C1	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
7	Bilal Mustafa	Additional Joint Director	C1	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
8	Haris Bin Tipoo	Deputy Director	C	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
9	Beenish Waqas	Deputy Director	C	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
10	Hira Awan	Deputy Director	C	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
11	Muazzam Ali	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
12	Muhammad Mateen Abbasi	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
13	Muhammad Mehran Jan	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
14	Kanwal Aisha	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
15	Syed Fareed Ullah	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
16	Adnan Khan	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
17	Faisal Khan	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
18	Asmat Ullah	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
19	Mehreen Anwar	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	

20	Ali Raza Mahar	Assistant Director	B	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
21	Zubair Ahmed	Management Executive	A	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
22	Sara Sultan	Management Executive	A	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
23	Sadia Iqbal	Management Executive	A	Offsite-I Department	Supervision Division	HQ	As per relevant grade	
24	Asma Niaz	Deputy Director	C	Offsite-I Department	Supervision Division	Lahore	As per relevant grade	
24)	Offsite-II Department							
1	Imran Inayat Butt	Executive Director	G	Offsite-II Department	Supervision Division	HQ	As per relevant grade	Offsite-II Department: <ol style="list-style-type: none"> i. Offsite examination of listed companies with respect to compliance with regulatory framework. ii. Recommending enforcement actions in case of non-compliances. iii. Review and examination of information submitted in connection with compliance of takeover laws. iv. Surveillance of trading activities in the listed equity securities for detection of market abuses as defined in the Securities Act 2015. v. Monitoring compliance of beneficial ownership filings by Directors, Executive Officers, Substantial Shareholders of listed companies in terms of Securities Act 2015. vi. Handling of complaints and queries received through SDMS and PMDU.
2	Amina Aziz	Director	F	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
3	Tariq Ahmad	Additional Director	E	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
4	Muhammad Tanveer Alam	Additional Director	E	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
5	Muhammad Jahangir	Joint Director	D	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
6	Irfan Afzal	Joint Director	D	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
7	Awais Ghani	Joint Director	D	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
8	Hina Gul	Additional Joint Director	C1	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
9	Haroon Abdullah Abbas	Additional Joint Director	C1	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
10	Malik Asim Pervez	Additional Joint Director	C1	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
11	Rohail Ahmed Abbasi	Additional Joint Director	C1	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
12	Rizwan Haroon	Additional Joint Director	C1	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
13	Imran Saif	Deputy Director	C	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
14	Muhammad Umair	Deputy Director	C	Offsite-II Department	Supervision Division	HQ	As per relevant grade	

15	Jawad Ahmad	Deputy Director	C	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
16	Naveed Ahmed Sethi (Deputation)	Deputy Director	C	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
17	Sabeel Ahmed	Assistant Director	B	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
18	Qurat ul Ain Shah Jehan	Assistant Director	B	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
19	Ishfaq Ahmad Saqi	Assistant Director	B	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
20	Adil Iftikhar	Assistant Director	B	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
21	Asia Nasim	Assistant Director	B	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
22	Habib Hassan Mangi	Management Executive	A	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
23	Syed Usman Ali Shah	Management Executive	A	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
24	Imran Khan Sangrasi	Management Executive	A	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
25	Filza Fuad Siddiqui	Management Executive	A	Offsite-II Department	Supervision Division	HQ	As per relevant grade	
25)	Onsite Department							<p>Onsite Department:</p> <p>Onsite Department carries out inspection based on Annual Inspections Plan and investigations are initiated based on recommendations received from other departments: Broadly, the Onsite department carries out the following:</p> <ol style="list-style-type: none"> 1. Investigation of market abuse including insider trading and market manipulation; 2. In respect of Listed/unlisted/private companies: <ol style="list-style-type: none"> i) Inspection of books of accounts under Section 221 of Companies Act, 2017; ii) Investigation into affairs of Company on application of members or receipt of report of registrar under Section 256 of Companies Act, 2017; iii) Investigation of Company affairs by order of Court or if Commission deems
1	Ali Azeem Ikram	Executive Director	G	Onsite Department	Supervision Division	HQ	As per relevant grade	
2	Syed Ali Adnan	Additional Director	E	Onsite Department	Supervision Division	HQ	As per relevant grade	
3	Mahboob Ahmad	Additional Director	E	Onsite Department	Supervision Division	HQ	As per relevant grade	
4	Tahir Mahmood Kiyani	Joint Director	D	Onsite Department	Supervision Division	HQ	As per relevant grade	
5	Kashif Ali	Joint Director	D	Onsite Department	Supervision Division	HQ	As per relevant grade	
6	Saqib Rafique	Joint Director	D	Onsite Department	Supervision Division	HQ	As per relevant grade	
7	Anwaar Ahmed	Additional Joint Director	C1	Onsite Department	Supervision Division	HQ	As per relevant grade	
8	Shahid Javed	Additional Joint Director	C1	Onsite Department	Supervision Division	HQ	As per relevant grade	

9	Jackson Wilson	Additional Joint Director	C1	Onsite Department	Supervision Division	HQ	As per relevant grade	<p>fit as per criteria provided under Section 257(1)(b) of Companies Act, 2017;</p> <p>iv) Serious fraud investigation under Section 258(1) & (4) of Company as described in Sixth Schedule read with Section 496 of Companies Act, 2017;</p> <p>v) Investigation under Section 29 of SECP Act;</p> <p>3. Inspections of Securities Brokers, Self-Regulatory Organizations(i.e. PSX, CDC, NCCPL) and Credit Rating Agencies under section 138 of the Securities Act;</p> <p>4. Inspections of Futures Brokers and PMEX under Section 82 of the Futures Market Act, 2016</p> <p>5. Inspections of Insurance and Takaful Companies under Section 59A of the Insurance Ordinance;</p> <p>6. Inspections of Non-Banking Finance Companies (NBFCs) under Section 282I of the Companies Ordinance, 1984;</p> <p>7. Inspections of Authorized Intermediaries/ Company Service Providers;</p> <p>8. Inquiries of Modarabas under Section 21 of the Modaraba Ordinance, 1980;</p> <p>9. Investigations of Securities Brokers, Self-Regulatory Organizations (i.e. PSX, CDC, NCCPL) and Credit Rating Agencies under section 139 of the Securities Act, 2015;</p> <p>10. Investigations of Futures Brokers and PMEX under Section 83 of the Futures Market Act, 2016; and</p> <p>11. Investigations of Insurance and Takaful Companies under Section 59 of the Insurance Ordinance, 2000.</p>	
10	Haris Bin Mohsin	Additional Joint Director	C1	Onsite Department	Supervision Division	HQ	As per relevant grade		
11	Muhammad Bilal	Assistant Director	B	Onsite Department	Supervision Division	HQ	As per relevant grade		
12	Hassan Akbar Sharifzada	Management Executive	A	Onsite Department	Supervision Division	HQ	As per relevant grade		
13	Yusra Syed	Management Executive	A	Onsite Department	Supervision Division	HQ	As per relevant grade		
14	Mohammad Tanweer	Joint Director	D	Onsite Department	Supervision Division	Karachi	As per relevant grade		
15	Obaid-ur-Rehman	Joint Director	D	Onsite Department	Supervision Division	Karachi	As per relevant grade		
16	Mubeen Ashraf	Additional Joint Director	C1	Onsite Department	Supervision Division	Karachi	As per relevant grade		
17	Qaiser Iqbal	Additional Joint Director	C1	Onsite Department	Supervision Division	Karachi	As per relevant grade		
18	Adnan Ahmed	Additional Joint Director	C1	Onsite Department	Supervision Division	Karachi	As per relevant grade		
19	Kapeel Dev	Additional Joint Director	C1	Onsite Department	Supervision Division	Karachi	As per relevant grade		
20	Nadeem Rafique	Deputy Director	C	Onsite Department	Supervision Division	Karachi	As per relevant grade		
21	Muhammad Sharique Siddiqui	Deputy Director	C	Onsite Department	Supervision Division	Karachi	As per relevant grade		
22	Mohammad Hassaan Aslam	Deputy Director	C	Onsite Department	Supervision Division	Karachi	As per relevant grade		
23	Sajid Rafique	Assistant Director	B	Onsite Department	Supervision Division	Karachi	As per relevant grade		
24	Yasir Ali Shaikh	Assistant Director	B	Onsite Department	Supervision Division	Karachi	As per relevant grade		
25	Naeem Ullah	Assistant Director	B	Onsite Department	Supervision Division	Karachi	As per relevant grade		
26	Muhammad Usman Mirza	Additional Joint Director	C1	Onsite Department	Supervision Division	Lahore	As per relevant grade		
27	Mohammad Arslan Zafar	Additional Director	E	-	Supervision Division	HQ	As per relevant grade		
26)	Administration Department								

1	Dr. Rubina Tahir	Chief Medical Officer	E	Administration Department	Support Services Division	HQ	As per relevant grade	<p>Administration Department:</p> <p>The Administration Department is primarily responsible to provide admin and logistic support to all Departments/ Divisions of SECP. It is responsible for organizing events, ensuring transparent procurement process, maintenance of office equipment, provision of medical services, vehicle and fleet management, Hotel and travel, maintenance and renovation of office facilities such as daycare, GYM, safety and security of SECP's premises. The functional areas of the Admin Department are;</p> <ul style="list-style-type: none"> ▪ Facilitation, Renovation and Assets Management Wing. ▪ Travel, Transport and Event Management Wing. ▪ Medical and Physical Health Wing. ▪ Procurement Wing.
2	Arshad Kamal	Additional Director/HOD	E	Administration Department	Support Services Division	HQ	As per relevant grade	
3	Muhammad Shafiq Rana	Joint Director	D	Administration Department	Support Services Division	HQ	As per relevant grade	
4	Mirza Muhammad Arif Baig	Joint Director	D	Administration Department	Support Services Division	HQ	As per relevant grade	
5	Muhammad Ubaidullah Khalid	Additional Joint Director	C1	Administration Department	Support Services Division	HQ	As per relevant grade	
6	Imran Haider	Deputy Director	C	Administration Department	Support Services Division	HQ	As per relevant grade	
7	Zahid-ul-Hassan	Deputy Director	C	Administration Department	Support Services Division	HQ	As per relevant grade	
8	Ayesha Saddiqua	Assistant Director	B	Administration Department	Support Services Division	HQ	As per relevant grade	
9	Deepak Kumar Jewani	Additional Joint Director	C1	Administration Department	Support Services Division	Karachi	As per relevant grade	
10	Sajjad Ahmed	Deputy Director	C	Administration Department	Support Services Division	Karachi	As per relevant grade	
27) Finance Department								
1	Arshad Mahmood	Additional Director/HOD	E	Finance Department	Support Services Division	HQ	As per relevant grade	<p>Finance Department:</p> <p>Processes payments for authorized transactions. It also liaises with banks to ensure that banking arrangements are adequate and invests surplus funds.</p>
2	Babar Majeed Rathor	Joint Director	D	Finance Department	Support Services Division	HQ	As per relevant grade	
3	Raza ur Rehman Mirza	Additional Joint Director	C1	Finance Department	Support Services Division	HQ	As per relevant grade	
4	Syed Ali Hassan Naqvi	Additional Joint Director	C1	Finance Department	Support Services Division	HQ	As per relevant grade	

5	Gul Nawaz Khan	Assistant Director	B	Finance Department	Support Services Division	HQ	As per relevant grade	HR Department key functions: <ul style="list-style-type: none"> ▪ Manpower planning and budgeting ▪ Employee recruitment and selection ▪ Performance management and promotion ▪ Compensation and reward ▪ Training and career planning ▪ HR policies formulation - compliance/discipline ▪ Employee wellbeing initiatives 	
6	Mumtaz Ali	Assistant Director	B	Finance Department	Support Services Division	HQ	As per relevant grade		
7	Muhammad Saqib Latif	Assistant Director	B	Finance Department	Support Services Division	HQ	As per relevant grade		
28) Human Resources and Training Department									
1	M. Mubbashar Hassan	Director/HOD	F	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
2	Imran Ahmad	Joint Director	D	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
3	Tariq Jamal Malik	Additional Joint Director	C1	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
4	Maria Osman	Additional Joint Director <i>(on deputation to other organization)</i>	C1	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
5	Malik Zubair Ahmad	Deputy Director	C	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
6	Raheel Anees	Deputy Director	C	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
7	Muhammad Bashir Awan	Assistant Director	B	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
8	Waheed Ur Rehman	Assistant Director	B	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
9	Kashfa Mahmood	Assistant Director	B	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		
10	Khawaja Muhammad Asim Ayaz	Assistant Director	B	Human Resources and Training Department	Support Services Division	HQ	As per relevant grade		