**FORM 5**

**THE SECURITIES ACT, 2015**

**SECTION 103(1)(a)**

**RETURN CONTAINING PARTICULARS OF BENEFICIAL**

**OWNER SHIP OF LISTED SECURITIES**

**AS AT --------------------------------**

*Please complete in typescript or in bold block capitals.*

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 1. CUIN (Incorporation Number) |  |  |  |  |  |  |  |

|  |  |
| --- | --- |
| 2. Name of the Company whose securities are held |  |

|  |  |
| --- | --- |
| 2.1 Name of Director, Executive Officer, or Substantial Shareholder |  |

|  |  |  |
| --- | --- | --- |
| 2.2 | Insert designation or status |  |

|  |  |  |  |
| --- | --- | --- | --- |
| 2.3 | Particulars of nominee Director, if any (nominated by Substantial Shareholder) | Name: | CNIC No. |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 3. Fee Paid (Rs.) |  |  |  | |  | | Name & Branch of the Bank | | |  | | | | | | | |
|  |  | | | Day Month Year | | | | | | | | | | | | | |
| 4. Receipt No. |  | | | | | Date | |  |  |  |  |  |  |  |  |  |  | |

*(Bank challan to be attached in original)*

5. In pursuance of Section 103(1)(a) of the Securities Act, 2015, I hereby submit statement of securities beneficially owned, held or controlled by me, my spouse/dependents and private company(ies) having less than ten per cent shares of the issuer company, where I am a shareholder:-

**Part-A**: Detail of Securities beneficial owned, held or controlled by Self, spouse/dependents, by private company (which is not substantial shareholder of the above named company)

1. By self

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** |
| **Name** | **Father’s/**  **husband’s**  **name** | Address | **CNIC/**  **Passport/**  **Registration No.** | **Nationality** | **Class of Securities** | **Number of securities (Shares) held** | **Beneficial ownership in % of the issued shares of Company** | **Cost (Rs.)** |
|  |  |  |  |  |  |  |  |  |

1. by spouse/dependents

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** |
| **Name** | **Relationship** | **CNIC No.** | **Nationality** | **Class of Securities** | **Number of (shares) securities** | **Beneficial ownership in % of the issued shares of Company** | **Cost (Rs.)** |
|  |  |  |  |  |  |  |  |

1. by private company (which is not substantial shareholder of the above named company)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** |
| **Name(s) of Private Company**  **(ies)** | **Incorporation No.** | **Address** | **Class of Securities** | **Number of securities held** | **holding of the private company in % of the issued shares of Company the listed company** | **\*My interest in % in the private company** | **\*\*Beneficially owned No. of securities by me through the private company** |
|  |  |  |  |  |  |  |  |

\* No. of shares of the private company held /total issued shares of the Pvt. company multiplied by 100

\*\* Column No. 5 multiplied by Column No. 7

**Part-B**

6. Thus my total beneficial ownership in the company as of the aforesaid date is as under:-

|  |  |  |
| --- | --- | --- |
| Description | No. of Securities (shares) beneficially owned | Beneficial ownership in % of the issued shares of listed company |
| Shares shown in column 7 of Part-A(i) |  |  |
| Shares shown in column 6 of Part-A(ii) |  |  |
| Shares shown in column 8 of Part-A(iii) |  |  |
| Total Beneficial ownership |  |  |

|  |
| --- |
| ***7. I hereby declare that the information given in respect of my ownership and of the persons mentioned above is correct and compete to the best of my knowledge and belief.*** |

Signature ………………………………

Name ………………………………

Designation ………………………………

CDC Investor Account ………………………….

CDC sub-account ………………………………

UIN ..……………………………

Date ………/…………/…………

Note :

1. For the purposes of sections 101 to 107 of the Securities Act, 2015, the term “executive officer” - includes but not limited to the chief executive, chairman, chief financial officer, secretary, auditor or any other officer of the company as may be prescribed by the Commission.
2. For the purposes of sections 101 to 107 of the Securities Act, 2015 beneficial ownership of securities of any director, executive officer or substantial shareholder (in case of natural person) shall be deemed to include the securities beneficially owned, held or controlled by;
3. him/her;
4. the wife or husband of a director of a company (not being herself or himself a director of the company);
5. the minor son or daughter of a director where “son” includes step-son and “daughter” includes step-daughter; and “minor” means a person under the age of 18 years;
6. a private company, where such director, executive officer or substantial shareholder is a shareholder, but to the extent of his proportionate shareholding in the private company:

Provided that “control” in relation to securities means the power to exercise a controlling influence over the voting power attached thereto.

Provided further that in case the substantial shareholder is a non-natural person, only those securities will be treated beneficially owned by it, which are held in its name.

1. Every Director, executive officer and substantial shareholder of a listed Company who is or has been the beneficial owner of any equity securities is required to submit this return to the Commission within the period specified under section 103 of the Securities Act, 2015.
2. The statement must be signed by the director, executive officer or substantial shareholder, and in the case of a Company, by its Chief Executive, Director or Secretary.
3. Please furnish separate statement for each class of equity security beneficially owned.