



PRESS RELEASE

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SECP, CDC organize investor awareness seminars

ISLAMABAD, March 26: The strategic objectives of the Securities and Exchange Commission of Pakistan (SECP) include developing an efficient and dynamic regulatory framework that fosters principles of good governance in the corporate sector, ensuring proper risk management procedures in the capital market, and protecting investors through responsive policy measures and effective enforcement practices.

While recognizing that investor education is crucial for sustainable growth of the financial markets, ensuring investor protection and bolstering confidence in the markets, the SECP—in collaboration with Central Depository Company (CDC) — has organized investor awareness seminars in Islamabad and Rawalpindi. Similar investor awareness seminars have also been organized by the CDC in Karachi, Hyderabad, Multan, Faisalabad, Lahore and Gujranwala where the SECP also made its contribution.

The timing of these seminars is particularly important in the context of current regulatory initiatives where subscription to the CDC Access, SMS and email alerts have been made mandatory by the SECP. The seminars were marketed to the existing investors, mainly involved in active trading of capital market securities. There were Q&A sessions at all seminars, which were handled by the SECP and CDC executives.

The SECP's director, Market Development and Investor Education Department, Mr. Naseeruddin Humayun, made his presentation on the SECP's service desk and the forthcoming rolling out of investor education plan. He said that the SECP's service desk is available as a single point of contact for the outside world for any issues that need resolution on the part of the SECP with respect to brokers, agents, self-regulatory organizations, listed companies, specialized companies, corporatization and compliance, insurance and e-Services.

The complaints or queries may either be lodged by dialing UAN 051-111-117-327 or through the SECP's website www.secp.gov.pk/servicedesk. The service desk system has been developed for recording, monitoring and resolving investor complaints and enquires. All online complaints are recorded in the system and a complaint number is automatically generated and communicated to the complainant as a confirmation. The facilitation officers are available from 9 am to 5 pm on working days.

The CDC highlighted the protection measures available through CDC Access, SMS and email alerts to safeguard investors' interests.

The investors appreciated the SECP and CDC for organizing these seminars. They requested both organizations to make it a regular feature so that their queries, concerns and complaints may be handled effectively and they remain up to speed on the investment climate with particular reference to protection measures.