			Process Summary	
Sr. #	Department	Total No. of Processes	Phase I	Phase II
1	ссо	89	2, 3, 4, 5, 6, 7, 8, 9, 11, 12, 13, 16, 17, 18, 37, 49, 69, 70, 71, 72, 75, 76, 77, 78, 79, 80, 81, 82, 83, 88, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 169, 170, 171, 210, 224	73, 74, 84, 107, 149, 150, 172, 173, 174, 175, 188, 194, 195, 196, 205, 211, 212, 213, 214
2	CSD	4	0	177, 178, 228, 229
3	SMD	34	23, 24, 58, 59, 179, 180	25, 26, 27, 28, 29, 30, 31, 32, 33, 40, 60, 61, 62, 63, 64, 65, 66, 67, 68, 89, 98, 99, 100, 101, 102, 111, 182, 190
4	SCD	25	165	20, 21, 22, 36, 38, 39, 50, 51, 52, 53, 90, 91, 92, 94, 95, 96, 97, 108, 109, 110, 181, 199, 209, 225
5	INS	39	47, 48, 56, 57, 176	34, 35, 41, 42, 43, 44, 45, 46, 54, 55, 93, 103, 104, 105, 106, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 184, 185, 186, 191, 208, 226, 227
6	COMM SECTT.	1	0	230
7	cs	1	0	216
8	APPELLATTE BENCH	1		200
9	ADMIN	3	0	232, 233, 234
10	IFD	2		218, 219
11	IE&IRD	1	168	0
12	PLAD	2	0	220, 231
13	Shared	32	1, 10, 14, 15, 112, 166, 167, 221, 222	19, 85, 86, 87, 113, 163, 164, 183, 187, 189, 192, 193, 197, 198, 201, 202, 203, 204, 206, 215, 220, 223
	Total:	234	92	142

FINALIZED PROCESS LIST

Sr. No.	Particulars	Sub-		User Department / Owner	Phases	# of Human Tasks (20) H=20 M=10 L=1	# of System Tasks (20) H=20 M=10 L=1	# of Integration Points (Internal & External Systems) (15) H=15 M=7.5	# of Business Reports (15) H=15 M=7.5 L=1	# of KPI Reports (15) H=15 M=7.5 L=1	# of XFDL Forms (15) H=15 M=7.5 L=1	Ref. Range	Complexity	Priority
		Categories				H: >10 M: >5 <=10 L: <=5	H: > 10 M: >5 <=10 L: <=5	H: > 5 M: >= 3 <= 5 L: < 3	H: > 15 M: 5<=15 L: < 5	H: > 15 M: 5<=15 L: < 5	H: > 5 M: 3<=5 L: < 3	H/M/L H>=70 M>=50<70 L<50		
1	Diary, Scanning and Dispatch Process	1	Inward diary of physical, receipt Data entry & scanning Dispatch (if any) for both physically and electronically receive documents etc.	All Dept.	Phase I	5	4	5	5	3	1	19	L	н
2	Company Name Reservation	1	Company Name Reservation	CCD	Phase I	10	6	6	3	6	3	51	M	Н
	Company incorporation and registration of place of business of a foreign company	1	Company Incorporation (local)	CCD										н
3		i	Public Limited	CCD	Phase I	11	11	9	3	3	11	107	Н	Н
4		ii	Private Limited	CCD	Phase I	11	11	9	3	3	9	72	Н	Н
5		iii	Single Member Company	CCD	Phase I	11	11	9	3	3	9	72	Н	Н
6		iv	State Owned Companies"SOC's"	CCD	Phase I	11	11	9	3	3	11	107	Н	L
7	Combined Processes for Name Reservation and Incorporation	1	For all types of companies	CCD	Phase I	11	11	9	3	3	11	107	Н	Н
8			Registration of place of business of a foreign company in Pakistan (Forms 38 to 43)	CCD	Phase I	11	11	9	3	3	13	107	Н	Н
9		1	Creation of company record	CCD	Phase I	11	10	9	3	3	11	97	н	L
			On transfer of file/record from provincial government to SECP (Section 5)	CCD										
		ii	Creation of file / record for the companies governed by special enactment (Sec. 503)	CCD										
10	Mortgages and charges	1	Form 10 - Particulars of mortgages, charges, etc.	CCD/SCD	Phase I	11	10	11	3	5	4	96	Н	Н

11		2	Form 11 - Particulars of mortgage or charge subject to which	CCD	Phase I	11	10	11	3	5	4	96	Н	М
			property has been acquired											
12		3	Form 13 -Registration of entire series of debentures /	CCD	Phase I	11	10	11	3	5	4	96	Н	M
13		4	redeemable capital Form 14 - Particulars of an issue of redeemable capital /			11	10	11	3	5	4	96	Н	
13		4	debentures in a series when more than one issue in the series	CCD	Phase I	11	10	11	3	,	4	30	"	М
			is made											
14		5	Form 16 - Particulars of modification of mortgage, charge,	CCD/SCD	Phase I	11	11	11	3	5	6	114	Н	н
			etc.	есь/ эсь	1 Hase 1									п
15		6	Form 17 - Memorandum of complete satisfaction of	CCD/SCD	Phase I	11	10	11	3	5	6	104	н	н
			mortgage, charge, etc.				_	_	_	_	_			
16		7	Form 18 - Notice of appointment of receiver or manager	CCD	Phase I	11	8	5	3	5	5	54	М	L
17		8	Form 19 - Notice to be given by receiver/manager on ceasing	CCD	Phase I	11	8	5	3	5	5	54	M	L
10		9	to act as such							_	_			
18		9	Form 20 - Receiver or manager's abstract of receipts and payments	CCD	Phase I	11	8	5	3	5	5	54	М	L
19 Registration	n	1	Group Registration under Companies Registration	CCD /CCD	DI II	10	2	2	2	5	2	22	L	
			Regulations, 2008	CCD/CSD	Phase II	10	_	_	-		-		_	н
20		2	Registration as a Modaraba Company	SCD	Phase II	18	14	2	1	3	1	44	L	L
21		3	Registration of Scheme as Notified Entity	SCD	Phase II	23	14	1	1	3	1	44	L	Н
22		4	Registration of Trustee	SCD	Phase II	18	14	1	1	3	1	44	L	L
23		5	Registration as Brokers	SMD	Phase I	25	17	3	5	4	3	57	M	Н
24		6	Registration as Agents/Representative	SMD	Phase I	25	17	3	5	4	3	57	M	Н
25		7	Registration as Debt Securities Trustees	SMD	Phase II	28	20	4	5	4	3	94	Н	Н
26		8	Registration as Credit Rating Agency	SMD	Phase II	28	20	4	5	4	3	94	Н	Н
27		9	Registration as Underwriters	SMD	Phase II	28	20	4	6	4	3	94	Н	Н
28		10	Registration of Book Runners	SMD	Phase II	28	20	4	5	4	3	94	Н	H
29 30		11	Registration of SROs	SMD	Phase II	28	20	4	6	4	3	94	H	L
		12	Registation of Securities Manager	SMD	Phase II	28		4	6	4	, ,	94	Н	H
31		13	Registation of Securities Advisor	SMD	Phase II	28	20	4	6	4	3	94	Н	H
32		14	Registration of Balloters	SMD	Phase II	28	20	4	5	4	3	94	Н	H
33		15	Registration of Share Registrars	SMD	Phase II	28	20	4	6	4	3	94	H	Н
34		16		INS	Phase II	35	5	5	11	15	4	81	Н	н
			Registration to function as Insurance Company - Life											
35		17		INS	Phase II	35	5	5	11	15	4	81	Н	н
			Registration to function as Insurance Company - Non-Life											
36 NOC for form	ormation of NBFC	1	NOC for Formation of NBFC	SCD	Phase II	23	14	2	1	3	1	44	L	Н
37 Licencing	ormation of NBFC	1	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance	CCD	Phase I	11	9	9	5	5	10	75	Н	Н
37 Licencing 38	ormation of NBFC	1 2	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC	CCD SCD	Phase I Phase II	11 23	9 14	9 2	5 1	5 3	10 1	75 44		
37 Licencing 38 39	ormation of NBFC	1 2 3	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba	SCD SCD	Phase I Phase II Phase II	11 23 18	9 14 14	9 2 1	5 1 1	5 3 3	10 1 1	75 44 44	H L L	H H L
37 Licencing 38 39 40	ormation of NBFC	1 2 3 4	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle	CCD SCD SCD SMD	Phase II Phase II Phase II	11 23 18 28	9 14 14 20	9 2 1 3	5 1 1 6	5 3 3 4	10 1 1 3	75 44 44 87	H L L	H H L H
37 Licencing 38 39 40 41	prmation of NBFC	1 2 3 4 5	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family	CCD SCD SCD SCD SMD INS	Phase I Phase II Phase II Phase II Phase II Phase II	11 23 18 28 6	9 14 14 20 3	9 2 1 3	5 1 1 6 6	5 3 3 4 6	10 1 1 3 4	75 44 44 87 41	H L L H	H H L H
37 Licencing 38 39 40 41 42	ormation of NBFC	1 2 3 4	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle	CCD SCD SCD SMD	Phase II Phase II Phase II	11 23 18 28 6 6	9 14 14 20 3	9 2 1 3 4	5 1 1 6 6	5 3 3 4 6 6	10 1 1 3 4	75 44 44 87 41 41	H L L H	H H L H
37 Licencing 38 39 40 41	ormation of NBFC	1 2 3 4 5	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General	CCD SCD SCD SCD SMD INS	Phase I Phase II Phase II Phase II Phase II Phase II	11 23 18 28 6	9 14 14 20 3	9 2 1 3	5 1 1 6 6	5 3 3 4 6	10 1 1 3 4	75 44 44 87 41	H L L H	H H L H
37 Licencing 38 39 40 41 42 43	ormation of NBFC	1 2 3 4 5 6 7	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family	CCD SCD SCD SMD INS INS	Phase I Phase II Phase II Phase II Phase II Phase II Phase II	11 23 18 28 6 6 6	9 14 14 20 3 3 3	9 2 1 3 4 4	5 1 1 6 6 6 6	5 3 3 4 6 6	10 1 1 3 4 4 4	75 44 44 87 41 41	H L L H L	H H L H
37 Licencing 38 39 40 41 42	ormation of NBFC	1 2 3 4 5	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General	CCD SCD SCD SMD INS INS	Phase I Phase II Phase II Phase II Phase II Phase II Phase II	11 23 18 28 6 6	9 14 14 20 3	9 2 1 3 4	5 1 1 6 6	5 3 3 4 6 6	10 1 1 3 4	75 44 44 87 41 41	H L L H	H H L H
37 Licencing 38 39 40 41 42 43	ormation of NBFC	1 2 3 4 5 6 7	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family	CCD SCD SCD SMD INS INS INS	Phase II	11 23 18 28 6 6 6	9 14 14 20 3 3 3	9 2 1 3 4 4 4	5 1 1 6 6 6 6 6	5 3 3 4 6 6 6	10 1 1 3 4 4 4	75 44 44 87 41 41 41	H L L H L L	H H L H H
37 Licencing 38 39 40 41 42 43	ormation of NBFC	1 2 3 4 5 6 7	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health	CCD SCD SCD SMD INS INS	Phase I Phase II	11 23 18 28 6 6 6	9 14 14 20 3 3 3	9 2 1 3 4 4	5 1 1 6 6 6 6	5 3 3 4 6 6	10 1 1 3 4 4 4	75 44 44 87 41 41	H L L H L	H H L H H
37 Licencing 38 39 40 41 42 43	ormation of NBFC	1 2 3 4 5 6 7	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family	CCD SCD SCD SMD INS INS INS	Phase II	11 23 18 28 6 6 6	9 14 14 20 3 3 3	9 2 1 3 4 4 4	5 1 1 6 6 6 6 6	5 3 3 4 6 6 6	10 1 1 3 4 4 4	75 44 44 87 41 41 41	H L L H L L	H H L H H
37 Licencing 38 39 40 41 42 43 44 45	ormation of NBFC	1 2 3 4 5 6 7 8	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance	CCD SCD SCD SMD INS INS INS INS	Phase I Phase II Phase II Phase II Phase II Phase II Phase II Phase II	11 23 18 28 6 6 6 6	9 14 14 20 3 3 3 3	9 2 1 3 4 4 4 4	5 1 1 6 6 6 6 6 6 6	5 3 4 6 6 6 6	10 1 1 3 4 4 4 4	75 44 44 87 41 41 41 41	H L L L L L L L L L	H H L H H H
37 Licencing 38 39 40 41 42 43 44 45	ormation of NBFC	1 2 3 4 5 6 7 8	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker	CCD SCD SCD SMD INS INS INS INS INS	Phase I Phase II	11 23 18 28 6 6 6 6 6	9 14 14 20 3 3 3 3 3 3	9 2 1 3 4 4 4 4 4	5 1 1 6 6 6 6 6 6 6	5 3 3 4 6 6 6 6 6	10 1 1 3 4 4 4 4 4	75 44 44 87 41 41 41 41 41 41	H L H L L L	H H L H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 1	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Insurance Broker License to act as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies	CCD SCD SCD SMD INS	Phase I Phase II Phase I	11 23 18 28 6 6 6 6 6 6	9 14 14 20 3 3 3 3 3 3	9 2 1 3 3 4 4 4 4 4 4	5 1 1 6 6 6 6 6 6 6	5 3 3 4 6 6 6 6 6	10 1 1 3 3 4 4 4 4 4 4	75 44 47 48 87 41 41 41 41 75	H L L L L L L L L L L L L L L L L L L L	H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48	ormation of NBFC	1 2 3 4 5 6 7 8 9	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker Licence to function as Surveyor Registration to act as Authorized Surveying Officer	CCD	Phase I Phase II Phase I	11 23 18 28 6 6 6 6 6 6	9 14 14 20 3 3 3 3 3 3 3	9 2 1 1 3 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	5 1 1 6 6 6 6 6 6 6	5 3 3 4 6 6 6 6 6 6	10 1 1 3 4 4 4 4 4 4 4 4 4 4	75 44 44 87 41 41 41 41 41 41 41	H	H H L H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 1	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Insurance Broker License to act as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies	CCD SCD SCD SCD SMD INS INS INS INS INS INS INS CCD SCD SCD	Phase I Phase II Phase I Phase I Phase I Phase I Phase I Phase I Phase II Phase II	11 23 18 28 6 6 6 6 6 6	9 14 14 20 3 3 3 3 3 3 3 3 2 2 3 3 9	9 2 1 3 4 4 4 4 4 4 2 4 4 9	5 1 1 6 6 6 6 6 6 6	5 3 3 4 6 6 6 6 6 6	10 1 1 3 4 4 4 4 4 4 5 4 4	75 44 47 48 87 41 41 41 41 75	H L L L L L L L L L L L L L L L L L L L	H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 1 2 3 4	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License	CCD	Phase II Phase I Phase I Phase I Phase II Phase II Phase II Phase II Phase II Phase II	11 23 18 28 6 6 6 6 6 6 28 6 6 11 18 18	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 3	9 2 1 3 4 4 4 4 4 4 2 2 4 4 9	5 1 1 6 6 6 6 6 6 6 6 11 6 6 6	5 3 3 4 6 6 6 6 6 6	10 1 1 3 4 4 4 4 4 4 5 5 4 10 10	75 44 47 48 41 41 41 41 41 41 75 41 75 44 44 44	H L L L L L L L L L L L L L L L L L L L	H H H H H H H L H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 2 3 3 4 4 5 5	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Company	CCD	Phase I Phase II Phase I Phase I Phase I Phase II Phase II Phase II Phase II Phase II	11 23 18 28 6 6 6 6 6 6 6 6 6 1 1 18 18 18	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 9 14 14 14 8 8	9 2 1 1 3 4 4 4 4 4 4 2 4 4 9 1 1 1 1	5 1 1 6 6 6 6 6 6 6 6 111 6 6 6 5 111 1 1	5 3 3 4 6 6 6 6 6 6 10 6 6 5 3 3 3 3 3	10 1 1 3 4 4 4 4 4 4 5 4 10 11 11	75 44 44 87 41 41 41 41 41 41 75 41 41 75 41 41 42 44	H	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 1 2 3 4	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Company Third Party Administrator for Health Insurance	CCD SCD SCD SMD INS INS INS INS INS INS SCCD SCD SCD SCD SCD	Phase I Phase II Phase I Phase I Phase I Phase I Phase II	11 23 18 28 6 6 6 6 6 6 6 6 6 1 11 18 9 9	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 3 4 14 14 8 8	9 2 1 3 4 4 4 4 4 2 2 4 4 4 9 1 1 1 1 1 8	5 1 1 6 6 6 6 6 6 6 6 6 11 6 6 5 11 1 1 1	5 3 3 4 6 6 6 6 6 6	10 1 1 3 4 4 4 4 4 4 5 4 10 11 1	75 44 44 87 41 41 41 41 75 41 41 41 75 41 41 42 44 44 24 108	H L L L L L L L L L L L L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 1 2 3 4 5 6 7	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Company	CCD SCD SCD SMD INS INS INS INS INS INS SCD SCD SCD SCD SCD INS	Phase II	11 23 18 28 6 6 6 6 6 28 6 6 11 18 18 9 9	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 9 14 14 14 8 8	9 2 1 1 3 4 4 4 4 4 4 2 4 4 9 1 1 1 1	5 1 1 6 6 6 6 6 6 6 6 111 6 6 6 5 111 1 1	5 3 3 4 6 6 6 6 6 6 10 6 6 5 3 3 3 3 3	10 1 1 3 4 4 4 4 4 4 5 4 10 11 11	75 44 47 41 41 41 41 75 41 41 41 42 44 44 44 44 44 44 44 44 44 48	H	H H H H H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 1 2 3 4 5 6 6 7	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker	CCD SCD SCD SCD SMD INS INS INS INS INS INS SCD SCD SCD SCD SCD SCD INS INS INS INS	Phase I Phase II Phase I Phase I Phase II	111 23 18 28 6 6 6 6 6 6 6 6 10 11 18 18 9 9 30 30 5	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 9 14 14 14 8 8	9 2 1 1 3 4 4 4 4 4 4 2 4 4 9 1 1 1 1 1 1 8 8	5 1 1 6 6 6 6 6 6 6 11 6 6 6 5 1 1 1 1 1	5 3 3 4 6 6 6 6 6 10 6 6 5 3 3 3 3 3 5 5 5	10 1 1 3 4 4 4 4 4 4 5 5 4 4 10 11 1 1 1 1 1 3 3 3	75 44 44 87 41 41 41 41 41 75 41 41 42 44 44 44 44 108	H L L L L L L L L L L L L L	H H H H H H H H H H L H H H H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 1 2 3 4 5 6 7 7 8 9	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to Inurtion as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer	CCD SCD SCD SCD SMD INS	Phase I Phase II Phase I Phase I Phase I Phase I Phase II	11 23 18 28 6 6 6 6 6 6 6 28 6 6 6 11 11 18 9 9 9 30 30 5 5	9 14 20 3 3 3 3 3 3 3 2 2 3 3 3 3 4 14 14 14 8 8 8 14 14 14 3 3 3 3	9 2 1 3 4 4 4 4 4 2 2 4 4 4 9 1 1 1 1 1 8 8 8 4 4	5 1 1 6 6 6 6 6 6 6 111 6 5 1 1 1 1 1 5 5 5 5	5 3 3 4 6 6 6 6 6 6 6 6 6 6 5 3 3 3 3 3 3 5 5 5 5	10 1 1 3 4 4 4 4 4 5 5 10 11 1 1 1 1 3 3 3 3 3 3 3 3 3	75 44 44 87 41 41 41 41 75 41 75 41 41 75 41 108 108 32 32	H L L L L L L L L L L L L L	H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 57 58	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 1 2 3 4 5 6 7	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Insurance Broker License to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Modaraba Modaraba Modaraba Modaraba Modaraba Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers	CCD	Phase II	11 23 18 28 6 6 6 6 6 6 28 6 6 6 11 18 9 9 9 9 30 30 30 5 5	9 14 14 20 3 3 3 3 3 3 2 2 3 3 3 9 14 14 18 8 8 8 14 14 14 3 3 3	9 2 1 3 4 4 4 4 4 4 2 4 4 9 1 1 1 1 1 1 8 8 8 4 4	5 1 1 6 6 6 6 6 6 11 6 5 1 1 1 1 1 5 5 5 5	5 3 3 4 6 6 6 6 6 6 7 10 6 6 6 5 3 3 3 3 3 5 5 5 5 5 5 5 5 5 5 5	10 1 1 3 4 4 4 4 4 4 5 4 10 11 1 1 1 1 3 3 3 3 3 3 3 3 3 3 3 3 3	75 44 47 41 41 41 41 41 75 41 41 75 41 41 75 41 108 108	H	H H H H H H L H H H H H H H H H H H H H
37 Licencing 38 39 40 41 41 42 43 44 45 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 57 58 59	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 1 1 2 3 4 5 6 6 7 8 8 9 9 9 10 10 10 10 10 10 10 10 10 10 10 10 10	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Agents/Representative	CCD SCD SCD SCD SMD INS	Phase I Phase II Phase I Phase II	11 23 18 28 6 6 6 6 6 6 6 6 28 6 6 6 6 6 11 18 18 9 9 30 30 30 5 5 5 5	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 3 9 14 14 14 8 8 8 14 14 14 14 15 16 17 17 17	9 2 1 1 3 4 4 4 4 4 2 4 4 9 1 1 1 1 1 1 8 8 8 4 4	5 1 1 6 6 6 6 6 6 6 6 111 6 6 5 1 1 1 1	5 3 3 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 7 3 3 3 3	10 1 1 1 3 4 4 4 4 4 4 5 5 4 10 1 1 1 1 1 1 1 3 3 3 4 4 4 4 1 1 1 1 1 1	75 44 44 87 41 41 41 41 41 41 41 41 75 41 41 41 75 44 44 44 24 108 32 32 32 57	H L L H L L L L L H L L L H L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 57 58 59 60	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 1 2 3 4 5 6 7 8 9 9 10 11 11 12 12 13 14 15 16 16 17 17 18 18 18 18 18 18 18 18 18 18 18 18 18	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as insurance Broker License to Authorition as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Agents / Representative Debt Securities Trustees	CCD SCD SCD SMD INS	Phase I Phase II Phase I Phase I Phase I Phase I Phase II	11 23 18 28 6 6 6 6 6 6 6 6 6 6 6 6 6 11 11 18 9 9 30 30 30 5 5 5 5 5 5 5 5 5 5 5 5 5 5 5	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 3 3 3 4 14 14 8 8 8 14 14 14 14 3 3 3 3 7 14 17 17 17 17 17 17 17 17 17 17 17 17 17	9 2 1 3 4 4 4 4 4 4 2 2 4 4 4 4 4 1 1 1 1 1 1 1	5 1 1 6 6 6 6 6 6 6 111 6 6 5 1 1 1 1 1	5 3 3 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 7 5 3 3 3 3 3 3 3 3 3 3 3 3 3 3 5 5 5 5	10 1 1 3 4 4 4 4 4 4 5 5 4 4 4 10 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3 3 3 3 3	75 44 44 87 41 41 41 41 75 41 75 41 41 75 41 108 108 108 32 32 57	H L L L H L L L H L L H L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 51 52 53 54 55 56 57 58 59 60 61	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 1 2 3 3 4 5 6 7 7 8 8	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as insurance Broker License to act as insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Surveyor Apensy/Representative Debt Securities Trustees Credit Rating Agency	CCD SCD SCD SMD INS	Phase II	111 23 18 28 6 6 6 6 6 6 28 6 6 6 111 18 9 9 9 9 30 30 30 5 5 5 25 25 28 28	9 14 14 20 3 3 3 3 3 3 3 4 2 3 3 9 14 14 14 14 14 3 17 17 17 20 20	9 2 1 3 4 4 4 4 4 4 4 9 1 1 1 1 1 1 8 8 8 4 4	5 1 1 6 6 6 6 6 6 11 6 5 1 1 1 1 5 5 5 5	5 3 3 4 6 6 6 6 6 6 10 6 6 6 5 3 3 3 3 5 5 5 5 4 4 4 4 4 4 4 4 4 4 4	10 1 1 3 4 4 4 4 4 4 4 5 4 10 1 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3 3 3	75 44 47 41 41 41 41 41 75 41 41 75 41 41 75 41 108 108 32 57 57 94	H L L L L L L L L L L L L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 57 58 59 60 61 61	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 1 1 2 2 3 3 4 5 6 6 7 7 8 9 9 10 11 11 12 12 13 14 15 16 16 17 17 18 18 18 18 18 18 18 18 18 18 18 18 18	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Agents/Representative Debt Securities Trustees Credit Rating Agency Underwriters	CCD SCD SCD SCD SMD INS SMD INS	Phase I Phase II Phase I Phase I Phase II	111 23 188 28 6 6 6 6 6 6 6 6 6 6 11 18 18 19 9 30 30 30 5 5 5 5 5 22 25 25 28 28 28 28 28 28 28 28 28 28 28 28 28	9 14 14 20 3 3 3 3 3 3 3 3 2 3 3 3 3 9 14 14 14 8 8 8 14 14 11 14 17 17 17 17 17 20 20 20 20 20 20 20 20 20 20 20 20 20	9 2 1 1 3 4 4 4 4 4 4 4 9 1 1 1 1 1 1 1 8 8 8 4 4 4 4 4 4 4 4 4 4	5 1 1 6 6 6 6 6 6 6 6 11 1 6 6 6 5 5 1 1 1 1	5 3 3 4 6 6 6 6 6 6 6 6 6 6 5 3 3 3 3 3 5 5 5 5	10 1 1 1 3 4 4 4 4 4 4 4 4 4 10 1 1 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3 3 3 3 3	75 44 44 87 41 41 41 41 41 41 41 41 41 41 41 41 41	H L L L L L L L L L L L L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 57 58 59 60 61 62 63	ormation of NBFC	1 2 3 4 5 6 7 8 9 10 11 12 2 3 4 4 5 6 7 8 9 10 11 11 12 1 2 3 4 5 6 7 7 8 9 10 10 10 10 10 10 10 10 10 10 10 10 10	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Insurance Broker License to act as Justerior Section 42 Companies NBFC License Trustee Modaraba Modaraba Company Third Party Administrator for Health Insurance Linsurance Broker Surveyor Authorized Surveying Officer Brokers Agenty Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Agents/Representative Debt Securities Trustees Credit Rating Agency Underwriters Book Runners	CCD	Phase I Phase II	11 23 18 28 6 6 6 6 6 6 6 6 6 6 6 6 11 11 18 9 9 9 30 30 30 5 5 25 25 28 28 28 28 28 28 5 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 3 3 3 4 14 14 14 8 8 8 14 14 14 15 16 17 17 17 17 17 17 17 17 17 17 17 17 17	9 2 1 3 4 4 4 4 4 2 2 4 4 4 4 9 1 1 1 1 1 1 1 1 1 1 8 8 8 8 4 4 4 4 4 4	5 1 1 6 6 6 6 6 6 6 111 6 6 6 5 1 1 1 1	5 3 3 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 7 5 5 5 5	10 1 1 3 4 4 4 4 4 4 4 5 4 10 1 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3 3 3	75 44 44 87 41 41 41 41 75 41 75 41 41 75 41 108 108 32 32 57 57 57 94 94 94	H L L L L L L L L L L L L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 65 57 58 59 60 61 62 63 64	ormation of NBFC	1 2 3 4 4 5 5 6 7 8 8 9 9 10 11 12 2 3 3 4 4 5 5 6 6 7 8 8 9 10 11 12 12 13 14 15 16 16 16 16 16 16 16 16 16 16 16 16 16	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as insurance Broker License to act as insurance Broker License to function as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Surveyor Authorized Surveying Officer Brokers Agents/Representative Debt Securities Trustees Credit Rating Agency Underwriters Book Runners SROS	CCD SCD SCD SCD SMD INS	Phase I Phase II	111 23 18 28 6 6 6 6 6 6 6 28 6 6 6 11 18 18 19 9 30 30 5 5 5 5 25 25 28 28 28 28 28	9 14 14 20 3 3 3 3 3 3 3 3 2 3 3 3 3 9 9 14 14 14 8 8 14 14 13 3 3 3 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	9 2 1 1 3 4 4 4 4 4 4 2 4 4 9 1 1 1 1 1 1 1 1 8 8 8 4 4 4 4 4 4 4 4 4	5 1 1 6 6 6 6 6 6 11 6 5 1 1 1 1 1 5 5 5 5	5 3 3 4 6 6 6 6 6 6 10 6 6 5 3 3 3 3 3 5 5 5 5 5 4 4 4 4 4 4 4 4 4	10 1 1 3 4 4 4 4 4 4 4 5 4 4 10 1 1 1 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3	75 44 47 41 41 41 41 41 75 41 41 75 41 41 75 41 108 108 32 57 57 57 94 94 94 6	H L L L L L L L L L L L L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 57 58 59 60 61 61 62 63 64	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 1 2 3 4 5 6 6 7 7 8 8 9 9 10 11 11 2 3 4 5 6 7 7 8 8 9 10 10 10 10 10 10 10 10 10 10 10 10 10	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Insurance Broker License to Incurtion as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Agents/ Representative Debt Securities Trustees Credit Rating Agency Underwriters Book Runners SROs Securities Manager	CCD SCD SCD SCD SMD INS SMD INS	Phase II	111 23 18 28 6 6 6 6 6 6 6 6 11 18 18 9 30 30 30 5 5 5 25 28 28 28 28 28 28 1 1 28	9 14 14 20 3 3 3 3 3 3 3 3 3 3 4 2 2 3 3 3 4 14 14 14 8 8 8 14 14 14 17 20 20 20 20 20 0 0 0 0	9 2 1 1 3 4 4 4 4 4 4 4 9 9 1 1 1 1 1 1 1 1 8 8 8 4 4 4 4 4 4 4 4 4	5 1 1 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 7 11 1 1 1	5 3 3 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 5 3 3 3 3 3	10 1 1 1 3 4 4 4 4 4 4 4 4 4 10 1 1 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3 3 3 3 3	75 44 44 87 41 41 41 41 41 75 41 41 41 41 41 75 75 44 44 44 24 108 108 32 32 57 57 94 94 94	H L L L L H L L L H H L L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 67 57 58 59 60 61 62 63 64 65 66	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 2 3 4 4 5 6 6 7 7 8 8 10 11 12 13 14 15 16 16 17 18	NOC for Formation of NBFC License uf s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Insurance Broker License to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Agenty Representative Debt Securities Trustees Credit Rating Agency Underwriters Book Runners SROS Securities Manager Securities Manager Securities Manager	CCD	Phase I Phase II	111 23 18 28 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6	9 14 14 20 3 3 3 3 3 3 3 2 2 3 3 3 4 14 14 8 8 14 14 14 8 17 17 17 17 17 17 17 17 20 20 20 20 0 0 20 20 20 20 20 20 20 20	9 2 1 3 4 4 4 4 4 2 2 4 4 4 9 1 1 1 1 1 1 1 1 1 1 1 1 4 4 4 4	5 1 1 6 6 6 6 6 6 6 6 111 6 6 6 5 1 1 1 1	5 3 3 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 7 5 3 3 3 3 3 3 5 5 5 5 5 5 5 5 5 5 5 5	10 1 1 3 4 4 4 4 4 4 4 5 4 4 10 1 1 1 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3	75 44 44 47 41 41 41 41 75 41 41 75 41 41 75 41 108 108 108 32 32 57 57 57 94 94 94 6 6	H L L L H L L H L L H L L H L L	H H H H H H H H H H H H H H H H H H H
37 Licencing 38 39 40 41 41 42 43 44 45 46 47 48 49 Renewal 50 51 52 53 54 55 56 57 58 59 60 61 61 62 63 64	ormation of NBFC	1 2 3 4 5 6 7 8 8 9 10 11 12 1 2 3 4 5 6 6 7 7 8 8 9 9 10 11 11 2 3 4 5 6 7 7 8 8 9 10 10 10 10 10 10 10 10 10 10 10 10 10	NOC for Formation of NBFC License u/s. 42 of the Companies Ordinance License to function as NBFC Floatation of Modaraba Licensing of Special Purpose Vehicle Authorization to act as Takaful Operator - Family Authorization to act as Takaful Operator - General Authorization to act as Window Takaful Operator - Family License to act as Window Takaful Operator - Family License to act as Third Party Administrator for Health Insurance License to act as Insurance Broker License to act as Insurance Broker License to Incurtion as Surveyor Registration to act as Authorized Surveying Officer Section 42 Companies NBFC License Trustee Modaraba Modaraba Company Third Party Administrator for Health Insurance Insurance Broker Surveyor Authorized Surveying Officer Brokers Agents/ Representative Debt Securities Trustees Credit Rating Agency Underwriters Book Runners SROs Securities Manager	CCD SCD SCD SCD SMD INS SMD INS	Phase II	111 23 18 28 6 6 6 6 6 6 6 6 11 18 18 9 30 30 30 5 5 5 25 28 28 28 28 28 28 1 1 28	9 14 14 20 3 3 3 3 3 3 3 3 3 3 4 2 2 3 3 3 4 14 14 14 8 8 8 14 14 14 17 20 20 20 20 20 0 0 0 0	9 2 1 1 3 4 4 4 4 4 4 4 9 9 1 1 1 1 1 1 1 1 8 8 8 4 4 4 4 4 4 4 4 4	5 1 1 6 6 6 6 6 6 6 6 6 6 6 6 6 6 6 7 11 1 1 1	5 3 3 4 6 6 6 6 6 6 6 6 6 6 6 6 6 6 5 3 3 3 3 3	10 1 1 3 4 4 4 4 4 4 4 5 4 4 10 1 1 1 1 1 1 1 1 1 3 3 3 3 3 3 3 3 3	75 44 44 87 41 41 41 41 41 75 41 41 41 41 41 75 75 44 44 44 24 108 108 32 32 57 57 94 94 94	H L L L L H L L L H H L L L	H H H H H H H H H H H H H H H H H H H

69	Conversion of status of a company	1	From a Public company to a Private company	CCD	Phase I	7	7	11	2	3	5	80	н	н
70	crossor or outdo or a company	2	From Private company to Public company	CCD	Phase I	7	7	11	2	3	5	80	Н	Н
71		3	From SMC to Private company	CCD	Phase I	7	7	11	2	3	5	80	Н	н
72		4	From Private company to SMC	CCD	Phase I	7	7	11	2	3	5	80	Н	н
73		5	From Limited to Unlimited	CCD	Phase II	7	7	11	2	3	5	80	H	Н
74		6	From Unlimited to Limited	CCD	Phase II	7	7	11	2	3	5	80	H	Н
	Commencement of Business	1	Commencement of business by a public company	CCD	1 11000 11	· ·			-					
75			i Which has issued prospectus	CCD	Phase I	6	6	7	2	3	6	52	М	Н
76			ii Which has not issued prospectus	CCD	Phase I	6	6	7	2	3	6	52	M	Н
77	Approvals/ Permissions/ Exemptions/	1	Petition for Change of Company Address (From one			8	6	6	2	5	6	59	M	
	Confirmation	_	province to another province) Form-21 and Form-26	CCD	Phase I		Ü	Ü	-	,		33		Н
78		2	Petition for Change of Object clause and Form-26 only	CCD	Phase I	8	6	6	2	5	6	59	М	Н
79		3	Approval for Alteration in M&AA of Section - 42 Companies	CCD	Phase I	8	6	6	2	5	6	59	М	Н
80		4	Approval for quitting as member of section-42 Companies	CCD	Phase I	8	6	6	2	5	6	59	М	М
81		5	Approval for investment in associated companies by Section- 42 Companies	CCD	Phase I	8	6	6	2	5	6	59	М	М
82		6	Change of name	CCD	Phase I									
83		i	On the application of the company	CCD	Phase I	7	6	6	2	3	7	52	М	Н
84		ii	On the direction of Registrar	CCD	Phase II	7	6	6	2	3	7	52	М	Н
85		7	Group designation	CCD/CSD	Phase II	10	2	2	2	2	2	15	L	Н
86		8	Application for the appointment of Cost Auditor u/s 258	CCD/CSD	Phase II	10	2	2	2	2	2	15	L	Н
87		9	Application u/s. 158 seeking extension in period for holding AGM beyond the due date.	CCD/CSD/SCD/INS	Phase II	10	2	5	10	10	2	35	L	Н
88		10	In Drop down menu-Miscellaneous	CCD	Phase I	10	10	4	2	2	11	80	Н	н
		i	Appeal against the order of the Registrar refusing registration of the Memorandum.(Section 30)	CCD										
		ii	Application seeking relief from the consequences of default											
			in complying with the conditions constituting a company as a private company.(Section 46)	CCD										
		iii	Application under section 73(3) for filing approval for extension of period	CCD										
		iv	Application seeking issue of share at discount by companies. (Section 84)	CCD/CSD/SCD/INS										
		v	Application by a public company seeking permission to raise its further capital without issue of right shares. (Section 86)	CCD/CSD/SCD/INS										
		vi	Application seeking permission to issue of shares for consideration other than cash.	CSD/INS										
		vii	Application seeking rectification of register of mortgage. (Section 131)	CCD/CSD/SCD/INS										
		viii	Application seeking permission for holding of a particular											
			meeting at a place other than the town in which the	CCD/CSD/SCD/INS										
			registered office of the company is situated. (Section 158)	,,,		1								
		ix	Application for Permission to hold EOGM at a Shorter notice	CCD										
		х	Application seeking approval for the grant of a loan to	CCD/CSD/SCD/INS										
		xi	director of a listed company (Section 195) Application seeking exemption from bar on appointment of											
			a managing agents by a company. (Section 206)	CCD/CSD										
		xii	Application seeking approval for appointment of a sole purchase, sale, or distribution agent by a company. (Section 206)	CCD/CSD										
		xiii	Application seeking approval for depositing or transferring or holding the shares or securities in the name of a scheduled	CCD/CSD/INS/CSD										
			bank or a financial institution. (Section 209)											
		xiv	Application for preparation of accounts exceeding 12 months	CCD										
		xv	Application seeking an order for special audit. (Section 234A)	CCD/CSD										
		xvi	Application seeking exemption from the disclosure in the director's report of the changes concerning the nature of the business of the company during a financial year. (Section 236)	CCD/CSD/SCD										
		xvii	Application seeking exemption from provisions relating to consolidated financial statements in respect of companies. (Section 237)	CCD/CSD/INS										

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		xviii	Application seeking extension in the financial year of a holding company with regards to a subsidiary's financial year and to exempt a holding company or its subsidiary to hold AGM within the relevant calendar year under specific circumstances. (Section 238)	CCD/CSD/SCD/INS										
		xix	Application seeking permission to with-hold or defer payment of dividend by a listed company. (Section 251) (Form 35)	CCD/CSD/SCD/INS										
		xx	Notice of failure to appoint the auditors within the	CCD/CSD/INS/SCD										
		xxi	prescribed period. (Section 252) Appeal against the order of registrar refusing to register any	,,,										
			circular regarding offer of a scheme of merger/amalgamation etc. involving the transfer of shares.	CCD/SCD										
			(Section 289)											
		xxii	Application seeking appointment of an Administrator. (Section 295)	CCD										
		xxiii	Application seeking permission to inspect the documents in the case of voluntary winding up. (Section 427)	CCD										
		xxiv	Application seeking approval for payment of money kept under the Companies Liquidation Account. (Section 432)	CCD										
		xxv	Application seeking relief of the registrar or the Commission											
			or an officer authorized by the Commission in cases in which the powers have been delegated by the Commission. (Section	CCD										
		xxvi	488) Approval for advertisement to invite deposits. (Rule 6(4) of					-						
			the Companies (Invitation and Acceptance of Deposits) Rules, 1987)	CCD										
		xxvii	Approval for accepting deposits without issuing advertisement. (Rule 7(c) of the Companies (Invitation and	CCD										
		xxviii	Acceptance of Deposits) Rules, 1987) Application for extension under First proviso of sub-rule 3 of Rule 5A of the Companies (Issue of Capital) Rules, 1996	CSD/INS										
		xxix	Approval for issue of further share capital carrying different											
			rights for a company. Rule 5(1) of the Companies' Share Capital (Variation in Rights & Privileges) Rules 2000	CCD/CSD/INS										
		xxx	Power to relax any provision of the rules subject to such conditions as deemed fit to be imposed. (Rule 24(3) of the Public Sector Companies (Corporate Governance) Rules, 2013	CCD										
		xxxi	Approval for appointment of Cost Auditor in case of private and unlisted public companies(Rule 3(2) of Companies(Audit of Cost Accounts) Rules, 1998)	CCD										
		xxxii	To grant relaxation from the prescribed requirements regarding maintenance of website. (S.R.O 634 (I)/2014)	CSD										
		xxxiii	Others (enterable fields)	CCD										
89		11	Approval to issue, Circulate and publish prospectus	SMD	Phase II	26	18	1	6	4	3	87	Н	Н
90		12	Approval of Constitutive Document (Trust deed, Offering Document, supplemental trust deed, supplemental Offering Document).	SCD	Phase II	23	14	1	1	3	1	44	L	н
91		13	Appointment of Auditor of a Modaraba	SCD	Phase II	18	13	1	1	3	1	44	L	н
92		14	Appointment/Reappointment of Director/CEO of a Modaraba company or NBFC	SCD	Phase II	19	15	2	1	3	1	44	L	Н
93		14	Appointment/Reappointment of Director/CEO of an Insurance Company	INS	Phase II	19	15	2	1	3	1	44	L	н
94		15	Application for permission of deposit taking/ issue of Certificates of deposit	SCD	Phase II	15	11	1	1	3	1	44	L	L
95		16	Approval of appointment of Trustee for a Scheme	SCD	Phase II	23	14	1	1	3	1	44	L	Н
96		17	Approval for sale and transfer of shares held by Sponsor/Majority Share Holders	SCD	Phase II	15	11	1	1	3	1	44	L	L
97		19	Approval of advertisements	SCD	Phase II	15	11	1	1	3	1	44	L	L
98		20	Approval of the employee Stock Option Scheme (ESOS)	SMD	Phase II	26	18	3	6	4	3	87	Н	Н

99	21	Processing regulatory approvals for the SROs	SMD	Phase II	27	10			-				
100	21 22	Clearence to persons desirous of contesting elections of				10	1	2	5	1	71	M M	Н
100	22	board of stock exchange	SMD	Phase II	18	11	1	2	5	1	51	M	н
101	23	Appointment of key executives of SROs	SMD	Phase II	24	11	1	2	5	1	51	М	н
102	24	Approval/ Registration of Commodity Futures Contract			26	18	1	6	4	3	87	Н	
		11 ,	SMD	Phase II								• •	Н
103	25	Insurance Product Approvals under the Insurance Ordinance	INS	Phase II	4	3	4	10	10	4	32	L	Н
104	26	Facultative reinsurance Approvals under the Insurance Ordinance	INS	Phase II	3	11	10	17	17	9	81	Н	Н
105	27	Reinsurance Treaty Certificates under the Insurance Ordinance	INS	Phase II	5	6	3	7	7	5	35	L	н
106	28	Other Approvals under the Insurance Ordinance, 2000	INS	Phase II	4	3	5	1	1	4	19	L	н
	i	To order the life insurer to make surplus adjustment if in case its expenses exceed the limits prescribed by the Commission. (Section 22(9) of the Insurance Ordinance, 2000)	INS										
	ii	To order the life insurer to make expense adjustment if in case its expenses exceed the limits prescribed by the Commission for investment linked contracts. (Section 23(9) of the Insurance Ordinance, 2000)	INS										
	iii	To disapprove the appointment of appointed actuary and require the appointment of another actuary. (Section 26(2) of the Insurance Ordinance, 2000)	INS										
	iv	To approve/disapprove the dismissal of appointed actuary. (Section 27(4) of the Insurance Ordinance, 2000)	INS										
	v	To abolish the requirement for deposits specified by section 29, by reducing the required minimum amount to zero. (Section 29(2) of the Insurance Ordinance, 2000)	INS										
	vi	To approve refund of deposit. (Section 31 of the Insurance Ordinance, 2000)	INS										
	vii	To declare those assets as are to be admissible for the purposes of Part- V of the Ordinance. (Section 32(1)(d) of the Insurance Ordinance, 2000)	INS										
	viii	To make declaration under clause (d) of sub-section 1 of section 32 on application by an insurer (Section 32(8) of the Insurance Ordinance, 2000)	INS										
	ix	To declare assets as not to be admissible assets of an insurer or a life-insurance statutory fund maintained by an insurer, under clause (w) of sub-section (2) of section 32. (Section 32(9) of the Insurance Ordinance, 2000)	INS										
	х	To approve that the matters recorded or stored are capable of being reproduced in a written form. (Section 45(5)(Proviso) of the Insurance Ordinance, 2000)	INS										
	xi	To approve the auditor as qualified to perform audits of insurance companies. (Section 48(1) of the Insurance Ordinance, 2000)	INS										
	xii	To extend time, on application by an insurer, allowed by sub- section (1) of section 51 for furnishing of returns. (Section 51(1) Proviso of the Insurance Ordinance, 2000)	INS										
	xiii	To extend the time allowed for furnishing returns on application by an insurer by a further period not exceeding fifteen days. (Section 51(2)(Proviso) of the Insurance Ordinance, 2000)	INS										
	xiv	To grant approval for acquisition of a shareholding of more than ten percent. (Section 67(1) of the Insurance Ordinance, 2000)	INS										
	xv	To require the applicant to submit further documents to make an informed decision about the transaction in the interest of policy holders and the shareholders. (Section 67(3) of the Insurance Ordinance, 2000)	INS										
	xvi	To approve a date other than the 31st December for reporting by the insurance brokers. (Rule 27(2) of the Securities and Exchange Commission (Insurance) Rules, 2002)	INS										
	xvii	To approve any organization eligible to conduct a training program of not less than 20 hours for microinsurance agents (Rule 5(4) of the Securities and Exchange Commission (Microinsurance) Rules, 2014)	INS										

		xviii	To approve any organization eligible to conduct a training program of not less than 4 hours for microinsurance agents through over-the-counter means (Rule 5(5) of the Securities	INS										
		xix	and Exchange Commission (Microinsurance) Rules, 2014) To approve an alternative dispute resolution service to											
			resolve complaints (Rule 8(2) of the Securities and Exchange Commission (Microinsurance) Rules, 2014)	INS										
		xx	To approve appointment of Shariah Advisor of an operator. (Rule 26(2) of the Takaful Rules, 2012)	INS										
		xxi	To approve institutions and course outline to provide training. (Rule 28(2) of the Takaful Rules, 2012)	INS										
		xxii	To approve an auditor who shall be eligible to conduct audit of a Third Party Administrator (Regulation 24(1) of the Third Party Administrators for Health Insurance Regulations, 2014)	INS										
107		29	Other Application for approvals and exemptions	CCD	Phase II	4	3	5	1	1	4	19	L	М
108		30	Misc. Approvals under the NBFC and Notified Entities Laws	SCD	Phase II	4	3	5	1	1	4	19	L	L
109		31	Misc. Approvals under the Modaraba Laws	SCD	Phase II	4	3	5	1	1	4	19	L	L
110		32	Relaxations granted under NBFC, Notified Entities and Modaraba Laws	SCD	Phase II	4	3	5	1	1	4	19	L	L
111		33	Relaxations from the code of corporate governance	SMD	Phase II	4	3	5	1	1	4	19	L	L
112	Filing of Returns and Off-site Surveillance and Monitoring	1	Annual Audited Accounts	CCD/CSD/SCD/INS	Phase I	6	5	8	4	4	4	36	L	Н
113	and wontoning	2	Quarterly Accounts	CCD/CSD/SCD/INS		6	5	8	4	4	4	36	L	Н
-		3	CLD											
114		i	Form A - annual return of company having share capital	CCD	Phase I	3	3	4	2	2	4	19	L	Н
115		ii	Form B - annual return of company not having share capital	CCD	Phase I	3	3	4	2	2	4	19	L	Н
116		iii	Form A and 29 (Combined / Multiple)	CCD CCD	Phase I Phase I	3	3	4	2	2	4	19	L	Н
117 118		iv v	Form B and 29 (Combined / Multiple) Form 3 - Return of Allotment(Multiple)	CCD	Phase I Phase I	3	3	4	2	2	4	19 19	L	H H
119		vi	Form 4 - Particulars of oral contract relating to shares	CCD	Phase I	3	3	4	2	2	4	19	L	н
120		vii	Form 5 - Statement of the amount or rate per cent of the			25	2	2		3	2	94	Н	
			commission payable in respect of shares / debentures and of the number of the shares / debentures for which persons have agreed to subscribe for absolutely or conditionally	CCD	Phase I									L
121		viii	Form 8 - Notice of increase in number of members	CCD	Phase I	6	3	4	6	6	4	41	L	L
122		ix	Form 9 - Notice of the court's order disallowing / confirming the variations of the rights of holders of/ special class of shares	CCD	Phase I	6	3	4	6	6	4	41	L	L
		xi	Change of Company Address	CCD										
123 124			a Within same city - Form 21	CCD	Phase I	6	3	4	6	6	4	41	L	Н
			b From one city to another city within the same province) Form 21 and Form 26	CCD	Phase I	6	3	5	2	2	·	28	L	Н
125		xii	Form 24 - Notice of rectification of register of members	CCD	Phase I	7	6	7	2	2	4	45	L	L
126		xiii	Form 25 - Statutory report	CCD	Phase I	7	6	7	2	2	4	45	L	L
127 128		xiv xv	Form 26 - Special resolution Filing of Form 27 - internal only	CCD CCD	Phase I Phase I	7	6	7	2	2	4	45 45	L	H H
129		xvi	Filing of Form 28 – Internal only	CCD	Phase I	7	6	7	2	2	4	45	L	н
,		xvii	Form 29	CCD										
130			a Appointment/Change of Chief Executive, directors and other officers	CCD	Phase I	10	9	7	4	4	7	52	М	н
131			b Appointment/Change of CEO	CCD	Phase I	10	9	7	4	4	7	52	М	Н
132		·	c Appointment/Change of Director	CCD	Phase I	10	9	7	4	4	7	52	M	Н
133 134		xviii	d Appointment/Change of Other Officers Form 26 and 30 - Resolution passed by members pursuant to	CCD CCD	Phase I	10 9	9	7 6	2	2	7 5	52 45	M L	Н
135		xix	section 208 Form 33 - Notice of address at which books of accounts are		Phase I	9	8	6	2	2	4	45	L	н
			maintained	CCD	Phase I									M
136 137	+	xx xxi	Form 36 - Notice to dissenting shareholders Form 37 - Information to be furnished in relation to any offer	CCD	Phase I	8	7	6	2	2	4	45 45	L L	L
137		XXI	of a scheme or contract involving the transfer of shares or any class of shares in the transferor company to the transferee company	CCD	Phase I	•	,	6	2	2	4	45		L
138		xxii	Circular under Section 86(3)	CCD	Phase I	7	7	5	2	2	4	37	L	Н
139		xxii	Form - 39 - Return showing change in address of the registered or principal office of a foreign company	CCD	Phase I	8	7	6	2	3	4	45	L	Н
140		xxiii	Form 40 - Return showing change in particulars of directors, chief executive and secretaries (if any) of a foreign company	CCD	Phase I	8	7	6	2	3	4	45	L	н

141	×	xxiv	Form - 41 - Change in Particulars of Persons (Principal	CCD	Phase I	8	7	6	2	3	4	45	L	н
142			Officer) Form 42 - Change in Particulars of Persons (Authorized			8	7		2	3	4	45		
142	,	xxv	Person)	CCD	Phase I	8	,	6	2	3	4	45	L	Н
143	X	xxvi	Form - 43 - Change In Foreign Company Place of Business in	CCD	Phase I	8	7	6	2	3	4	45	L	н
			Pakistan	ССВ	rnase i									н
144	x	xxvii	Form - 44 - Return of alteration in charter, etc. of a foreign			8	7	6	2	3	4	45	L	
			company Change in Foreign Company Charter /Statute/ Memorandum and Article of Association)	CCD	Phase I									Н
			·											
145	x	cxviii	Form - 45 - List of places of business established by a foreign			8	7	6	2	3	4	45	L	
			company in Pakistan and submission of accounts by foreign	CCD	Phase I									н
			companies Establishment of Foreign Company Business Places and Submission of Accounts	CCD	1 Hase 1									"
			and submission of recounts											
146	X	xxix	Form 46 - Notice by a foreign company on ceasing to have			8	7	6	2	3	4	45	L	
			any place of business in Pakistan Foreign Company (Ceasing	CCD	Phase I									L
147	<u> </u>	xxx	to have place of business) Form S-3 - Notice of death of single member (Rule 7 (2)(b) of		+							31	L	
117		AAA	Single Member Company Rules 2003)	CCD	Phase I	7	6	5	2	2	2	31	_	Н
148	×	xxxi	Form S-5 - Notice for change of status of private company	CCD	Phase I							6	L	н
149			into single member company	CCD	Phase II		_							
150			Prospectus - Filing Statement of Compliance for public sector companies in			6	5	3	2	2	3	22 22	L	Н
150	×	xxxiii	eServices	CCD	Phase II	6	5	3	2	2	3		L	Н
		4	INSURANCE											
151		i	Regulatory Returns of a Life Insurer	INS	Phase II	1	3	3	4	4	1	6	L	Н
		a	Statement of Assets for Solvency Purposes (Form LI)	INS								1		
			Cl. 10 Ch. 11 Div. 17			1						-		
		b	Classified Summary of Assets in Pakistan (Form LJ)	INS								I		
152		ii	Regulatory Returns of a Family Takaful Operator			1	3	3	4	4	1	6	L	
102		-		INS	Phase II	1]	3	*	"	1			Н
	İ	a	Statement of Assets for Solvency Purposes (Form LI)	INS										
				CVII										
		b	Classified Summary of Assets in Pakistan (Form LJ)	INS								1		
152			Annual Audited Accounts of a NY 1777	INS	DL T	 	_	_		ļ <u>.</u>	1		 	<u> </u>
153		iii	Annual Audited Accounts of a Non-Life Insurer Statement of Assets and Liabilities (Form GA)		Phase II	1	3	3	4	4	1	6	L	Н
		a	, ,	INS										
		b	Statement of Profit and Loss for Shareholders' Account	INS										
—		c	(Form GB) Statement of Cash Flows (Form GC)	INS	1							 	-	
 		d	Statement of Cash Flows (Form GC) Statement of Premiums (Form GD)	INS	1	1	1	1			1	t	1	
		e	Statement of Claims (Form GE)	INS								†		†
		f	Statement of Expenses (Form GF)	INS								İ	İ	†
		g	Statement of Investment Income (Form GG)	INS		<u> </u>	<u> </u>	<u> </u>			<u> </u>		<u> </u>	
		h	Notes to the Accounts (Form GL)	INS						_				
154		iv	Annual Audited Accounts of a General Takaful Operator	INS	Phase II	1	3	3	4	4	1	6	L	Н
\vdash			Statement of Assets and Liabilities (Form GA)			1	1	1			1	 		
		a	Statement of Assets and Liabilities (Form GA)	INS	<u> </u>							<u> </u>	<u> </u>	
		b	Statement of Profit and Loss for Shareholders' Account	INS										
			(Form GB)	INS	1							 	-	ļ
\vdash	l l	c d	Statement of Cash Flows (Form GC) Statement of Premiums (Form GD)	INS	1	†	1	1				 	1	1
		e	Statement of Claims (Form GE)	INS		1	1	1			1	I		
		f	Statement of Expenses (Form GF)	INS										
		g	Statement of Investment Income (Form GG)	INS										
		h	Notes to the Accounts (Form GL)	INS						_				
155		v	Regulatory Returns of a Non-Life Insurer	INS	Phase II	1	3	3	4	4	1	6	L	Н
		a	Statement of Claims Analysis (Form GH)	INS		ļ						-		
	l l	b	Statement of Exposures (Form GI)	INS INS	1							 	-	
 		c d	Statement of Assets for Solvency Purposes (Form GJ) Classified Summary of Assets in Pakistan (Form GK)	INS		 	-	-		-	-		-	-
156		vi	Regulatory Returns of a General Takaful Operator	INS	Phase II	1	3	3	4	4	1	6	L	Н
100		a	Statement of Claims Analysis (Form GH)	INS	* 160C 11	1		3	*	-	1			<u> </u>
		b	Statement of Exposures (Form GI)	INS	1	İ						1	1	
		c	Statement of Assets for Solvency Purposes (Form GJ)	INS										
	l l	d	Classified Summary of Assets in Pakistan (Form GK)	INS										
157		vii	Regulatory Returns of a Family Takaful Operator	INS	Phase II	1	3	3	4	4	1	6	L	Н
		c	Statement of Assets for Solvency Purposes (Form LI)	INS		ļ	ļ	ļ			ļ			ļ
150		d	Classified Summary of Assets in Pakistan (Form LJ)	INS	DL T	 	_	_		ļ <u>.</u>	1		 	
158		viii	Regulatory Returns of a Life Operator Statement of Assets for Solvency Purposes (Form LI)	INS INS	Phase II	1	3	3	4	4	1	6	L	Н
 		c d	Classified Summary of Assets in Pakistan (Form LJ)	INS		1						1		
l		u	Classifica Junifilary of Assets III I akistali (Porffi LJ)	IINO	1	1	l	l		l	l	1		1

159		vii	Financial Condition Report of a Life Insurer / Family	INS	Phase II	1	3	3	4	4	1	6	L	Н
		a	Takaful Operator Factors for Determination of Solvency Margin for Life	INS										
			Insurer (Attachment 1 to Annexure III)	INS										
ł l		b	Statement of Solvency Margin - Life Insurers (Attachment II to Annexure III)	INS										
			FOR INVESTMENT LINKED BUSINESS:	INS		<u> </u>								
		c	Form LB-1	INS										
\longmapsto		d	Form LB-2	INS										
\longmapsto		e f	Form LB-3 Form DD	INS INS										
\vdash		g	Form DDD	INS										
\vdash		h	Form DDDD	INS										
			FOR NON-INVESTMENT LINKED BUSINESS	INS										
		i	Form NLB-1	INS										
		j	Form DD	INS										
\longmapsto		k 1	Form DDD	INS										
\vdash		1	FOR UNIVERSAL LIFE & UNIVERSAL LIFE HYBRID	INS										
1			BUSINESS	INS										
		m	Form ULB-1	INS										
\longmapsto		n	Form DD	INS		ļ		 	 		 	ļ		-
\longmapsto		0 p	Form DDDD Form DDDD	INS INS		1	1					1	1	
\vdash		P	FOR ACCIDENT & HEALTH BUSINESS	INS	1	1	1	1	1		1	1	1	t
\vdash		q	Form NLB-1	INS										1
		r	SUMMARY STATEMENTS	INS		<u> </u>	<u> </u>					İ	<u> </u>	
		S	Form H	INS										
└		t	Form I	INS								ļ		ļ
		u	Statement of Composition & Distribution of Surplus in respect of Policyholders Fund (Form L)	INS										
160		v	Separate Set of Accounts for Insurance Brokers (No Prescribed Format)	INS	Phase II	1	3	3	4	4	4	13	L	н
161		vi	Audit Reports issued by the External Auditors	INS	Phase II	1	3	3	4	4	1	6	L	Н
162		vii	Shariah Audit Report (For Takaful Business only)	INS	Phase II	1	3	3	4	4	1	6	L	Н
163 164		5	Cost Audited Accounts of Companies	CSD/CCD	Phase II	7	5 3	5	2	3	3	28	L	Н
164		6	Filing of Notices of Annual or Extra Ordinary General	CSD/INS	Phase II			3		4	1	6	L	H
			Meeting	COD/ INO	rnase II	_		-	•					п
165	SCRS Reporting	1	Meeting Cash & Balances with Banks in Current and Saving accounts	SCD SCD	Phase I	4	4	2	102	2	75	144	Н	н
165	SCRS Reporting		Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI,	· · · · · · · · · · · · · · · · · · ·						2	75	144		
165	SCRS Reporting	1	Cash & Balances with Banks in Current and Saving accounts	SCD						2	75	144		
165	SCRS Reporting	1 2 3	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers,	SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included)	SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds)	SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Scurities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future)	SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds)	SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 6 7 8	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 6 7 8 9	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COI, COM, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 6 7 8 9 10	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investments Investment in Commodities Future	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 6 7 8 9 10 11 12	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Commodities Future Investment in Commodities Future	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 6 7 8 9 10 11 12 13	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COI, COM, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Subsidiaries Other Investment	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 6 7 8 9 10 11 12	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Funds Under Management Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Commodities Future Investment in subsidiaries Other Investment Investment in Preference shares	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 6 7 8 9 10 11 12 13	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COI, COM, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Subsidiaries Other Investment	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 5 5 6 7 7 8 9 9 10 11 12 13 14 15 16 17 17	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Funds Under Management Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in subsidiaries Other Investment Investment in Preference shares Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only)	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 3 4 5 6 6 7 7 8 8 9 10 11 12 13 14 15 16 17 18	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Funds Under Management Investment in Open and Closed end mutual funds Foreign Investments Investment in Open and Closed end mutual funds Foreign Investments Investment in Subsidiaries Other Investment Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jara (Top 25 only)	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 6 6 7 7 8 8 9 10 11 12 13 14 15 16 17 18 18 19	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Freference shares Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Ijara (Top 25 only) Particulars of Investment Property	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 3 4 5 6 6 7 7 8 8 9 10 11 12 13 14 15 16 17 18	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Funds Under Management Investment in Open and Closed end mutual funds Foreign Investments Investment in Open and Closed end mutual funds Foreign Investments Investment in Subsidiaries Other Investment Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jara (Top 25 only)	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 6 6 7 7 8 8 9 10 11 12 13 14 15 16 17 18 18 19	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Preference shares Investment in Preference shares Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Igrar (Top 25 only) Particulars of Investment, deposit, prepayments and other receivables) Accrued Income	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 6 6 7 8 8 9 9 10 11 12 13 14 15 16 17 18 18 19 20	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI , COD , COMs etc. Placements (include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Government Securities Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Ijara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 5 6 6 7 7 8 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 5 6 6 7 8 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity Dept and the second of the Equity present of the Equity Spread Transactions (Ready vs Future) Investment in Government Securities Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investment in Commodities Future Investment in Subsidiaries Other Investment in Subsidiaries Other Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Ijara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each Category only)	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 5 6 6 7 7 8 8 9 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 5 6 6 7 7 8 8 9 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity Dept and the second of the Equity present of the Equity Spread Transactions (Ready vs Future) Investment in Government Securities Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investment in Commodities Future Investment in commodities Future Investment in Subsidiaries Other Investment Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Ijara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each Category only) Borrowings Other Insbillties Commitment and Contingencies	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD						2	75	144		
165	SCRS Reporting	1 2 3 4 4 5 5 6 6 7 7 8 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Open and Closed end mutual funds Foreign Investment in Open and Closed end mutual funds Foreign Investment in Open and Closed end mutual funds Foreign Investment in Summodities Future Investment in Summodities Future Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each Category only) Borrowings Other liabilities Commitment and Contingencies Housing Finance Facility - Top 25	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD							75	144		
165	SCRS Reporting	1 2 3 3 4 4 5 5 6 6 7 7 8 8 9 9 10 111 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Preference shares Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each Category only) Borrowings Other liabilities Commitment and Contingencies Housing Finance Facility - Top 25 Operating Assets (Fixed and intangible)	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD							75	144		
165	SCRS Reporting	1 2 3 3 4 4 5 5 6 6 7 7 8 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (IFCs, Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Government Securities Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investment in Commodities Future Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jjara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each Category only) Borrowings Other liabilities Commitment and Contingencies Housing Finance Facility - Top 25 Operating Assets (Fixed and intangible)	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD							75	144		
165	SCRS Reporting	1 2 3 3 4 4 5 5 6 6 7 7 8 8 9 9 10 111 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28	Cash & Balances with Banks in Current and Saving accounts Deposit with Financial Institutions in form of TDR, COI, COD, COMs etc. Placements (Include Call Money, Letter of Placement) Debt Securities (TFCs , Sukuks, Bonds, Commercial Papers, Pre-IPO amounts to be included) Equity investments (excluding investments in units of Open & Closed end Mutual Funds) Equity Spread Transactions (Ready vs Future) Investment in Funds Under Management Investment in Government Securities Investment in Open and Closed end mutual funds Foreign Investments Investment in Commodities Future Investment in Subsidiaries Other Investment Investment in Preference shares Investment in Margin Trading Financing Reverse REPOs Loans and Advances (Top 25 Borrowers Only) Lease Finance Facility / Jara (Top 25 only) Particulars of Investment Property Other Assets (Advances, deposit, prepayments and other receivables) Accrued Income Due from Associated Undertaking Deposit in form of COIs / CODs / COMs - (Top 25 of Each Category only) Borrowings Other liabilities Commitment and Contingencies Housing Finance Facility - Top 25 Operating Assets (Fixed and intangible)	SCD SCD SCD SCD SCD SCD SCD SCD SCD SCD							75	144		

		32	Due to Associated Undertakings	SCD										
		33	Trade Debts / Other receivables	SCD										
		34	Placements - Liability	SCD										
		35	Repo	SCD										
			Party wise details of the total outstanding liabilities (Top 10)											
		36	, (- op)	SCD										
			Restructuring / Rescheduling of financial obligations (Top											
		37	10)	SCD										
		38	Details of default made on financial obligations	SCD										
			Party wise details of total exposure (including											
		39	loans,leases,shares,TFCs etc.) (Top 10)	SCD										
			Details of outstanding exposure to related party (Top 10)											
		40	8 · 1 · · · · · · · · · · · · · · · · ·	SCD										
		41	Revenue earned from the related parties	SCD										
		42	Cost incurred on account of the related parties	SCD										
		43	Investment in Bill Discounting Business (Top 25)	SCD										+
			Details pertaining to Micro lending(Top 25 Borrowers Only)											
		44	Details pertaining to where fending (10p 25 borrowers Only)	SCD										
		45	Top 10 party / group / sector wise exposures	SCD										+
			Details of Investments held as Statutory Requirement											
		46	Against Deposit	SCD										
-			Details of Brokers / Dealers and Gross Traded Volume of				 	†						
		47	Fund Portfolio Securities	SCD				1	1	1	1	1	1	1
			Details of the Discretionary / Non discretionary clients (Top					l	 	1	1	<u> </u>	 	t
		48	25)	SCD										
		49	Maturity Profile of Balance Sheet Items	SCD				l	 	1	1	<u> </u>	 	t
-		50	Details of Classified Assets	SCD			 	†						
-			Movement in Unit Holder for Open End Funds	SCD		1	}	1	1	1	1		1	+
		51					1	 				 		
├		52	Details of issuance / redemptions during the month	SCD			1	1	ļ				ļ	
1		53	Details of the Non-Performing Debt Securities (including	SCD				1				I		
			COI/COM/TDR/TFC etc.)				1	 				-		
l		54	Details of sales and purchase of the debt securities during the	SCD										
			month				 	 						
1		55	Details of the top 20 unit holders of Mutual Fund Industry	SCD				1	1	1	1	1	1	
			Destrucción details of Leane / Lean Desde delse desire de											-
		56	Party wise details of Loans / Lease Reschedules during the month	SCD										
-			Party wise detail of classified assets (for lease, Loans,											
		57		SCD										
		37	Investments, Trade debts and other Assets including	SCD										
-			receivables Separately following format: (Top 25) Party wise details of Clients Exposures Written Off during									-		+
		58	the month	SCD										
		59	Deposit raised / rolled over / repaid during the month	SCD										
-			Borrowings made / repaid during the month	SCD								-		+
		60												
		61	Details of credit lines available	SCD										
		62	Details of Top 5 Group Exposures	SCD										
		63	Details of Top 5 Exposure (Lease / Loans)	SCD										
		64	Details of loans / leases disbursed during the month	SCD										
		65	Investment in Un-Listed shares (Ordinary and Preference	SCD										
		00	shares) - Top 10 Investments	ж.р				1]]	<u> </u>
		66	Details of exposure in top 5 groups (Applicable to Mutual /	SCD										
			Pension Funds)											
		67	Capital Adequacy Ratio	SCD			ļ							<u> </u>
		68	Annexures for REIT and Private Funds	SCD				<u> </u>						
		69	Daily NAV Collection of all funds.	SCD										
		70	Collection of Unit Holders Data.	SCD										
166	Application for Certified True Copies	1	On behalf of company		DL Y	10	2	2	2	2	2	15	L	1
	(CTC)		1. 7	CCD/CSD/AB/INS/SMD/SCD	Phase I		_	_	Ī -	1 -	_		l -	Н
			By General Public	CCD/CSD/AB/INS/SMD/SCD										
167	Inspection of company record	1	By or on behalf of company	CCD/INS	Phase I	6	6	7	2	3	3	45	L	Н
			By the members and General Public	CCD/INS		_	_	i	_	_			<u> </u>	
			Online application and online inspection	CCD/INS			 	†						
			Online application and electronic / physical inspection	· · · · · · · · · · · · · · · · · · ·			1	 	l			1	l	
1			Online application and electronic / physical inspection	CCD/INS				1		1	1	I		
			Offline application and electronic / physical inspection				 	†						
			crime appreciation and electronic / physical hispection	CCD/INS										
168	Service Desk Management System	1	Complaints/Enquiries/Investor Education			10	3	5	3	3	4	28	L	
100	(Complaints and Enquiries/Investor	•	companies/ investor Education	SDMS	Phase I	10	3	,	,	3	7	20	-	н
	Education)			22.110										"
		i	Filing	Service Desk				1						
		ii	Handling	Service Desk				l	 	1	1	<u> </u>	 	t
		iii	Monitoring and Reporting	Service Desk			1	1	1	1	1	 	1	
—	<u> </u>	iv					1	1	-	-	-	 	-	
1.00	A10 00 1 1 10 1		Feedback	Service Desk		_	_	 	_					
169	Alteration in share capital	1	Increase in authorized capital. Form 7 and Form 26	CCD	Phase I	7	6	5	5	3	8	51	М	н
l			(Multiple)		l .	l	1	l	l	l .	l .	L		<u> </u>

170	1	2	Form 6 - Notice of consolidation, division or sub-division of		I		I	1			1	22		1
170		-	shares, specifying the shares so consolidated, divided or sub-									22	_	
			divided or the cancellation of shares (otherwise than in	CCD	Phase I	8	5	5	4	3	2			M
			connection with a reduction of share capital under section 96	CCD	1 Hase 1	0	,	,	*	3	2			IVI
			of the companies ordinance, 1984)											
171		3	Further allotment of shares (Form 3) (Multiple)	CCD	Phase I	7	6	7	5	3	8	59	М	Н
172		4	Conversion of redeemable capital (TFC, PTC etc.) into shares	CCD	Phase II	6	6	7	2	3	3	45	L	M
173		5	Reduction in shares capital under the court order	CCD	Phase II	6	6	7	2	3	3	45	L	L
174		6	Reduction in shares capital on redemption of redeemable shares	CCD	Phase II	6	6	/	2	3	3	45	L	L
175		7	Reduction in shares capital on buy back of shares (if the	CCD	Phase II	6	6	7	2	3	3	45	L	L
			shares are cancelled) (Section 95A)	ССБ	1 Hase II									L
176	Off-site Surveillance and monitoring	1	Offsite review process for preparation of report based on ICRS	INS	Phase I	3	3	4	3	3	5	19	L	Н
177		2	Offsite of accounts and notices of listed Companies	CSD	Phase II	5	10	10	5	10	10	56	М	н
178		3	Offsite of accounts non-listed Companies	CSD	Phase II	5	10	10	5	10	10	56	M	Н
179		4	Corporate Debt Market Reporting System (CDMRS)	SMD	Phase I	15	12	2	7	4	11	100	Н	Н
180		5	Financial reporting system by Brokers ("FRS")	SMD	Phase I	15	12	3	30	5	30	169	Н	Н
181	On-site Inspection	1	Trading Data and GL Analysis &Inspections of the Regulated Entities	SCD	Phase II	10	5	3	8	3	5	28	L	M
182		2	Inspections of the Regulated Entities	SMD	Phase II	20	2	1	2	3	1	25	L	-
183		3	Inspection u/s. 231 of CO, 1984	CCD/CSD/INS	Phase II	10	6	6	2	3	3	45	Ĺ	H
184		4	Compliance Visit u/s. 84 of the Insurance Ordinance, 2000	INS	Phase II	19	5	5	6	6	5	51	M	Н
105			V C / 110 (d. I O): 2000				_				—			
185 186		5	Inspection u/s. 110 of the Insurance Ordinance, 2000 Inspection u/s. 59A of the Insurance Ordinance, 2000	INS	Phase II Phase II	19 19	8	8	10	10	8	75 75	H H	H H
186	Investigation - Inquiries	1	Investigation on application u/s. 263	INS CCD/CSD/INS	Phase II	19	8	6	10	10	8	75 45	H I	H
188	mresagadon - inquines	2	Inquiry under section 261 of CO 1984	CCD/CSD/INS CCD	Phase II	10	6	6	2	3	3	45	L	H
189		3	Investigation by the Commission (Suo-moto) u/s. 265	CCD/CSD/INS/SCD	Phase II	10	2	2	2	2	2	15	Ĺ	Н
190		4	Inquiries, Investigation under securities act	SMD	Phase II	4	0	1	1	4	1	6	L	L
191		5	Investigation under Section 59 of the Insurance Ordinance,	INS	Phase II	19	8	8	10	10	8	75	Н	н
192	Directions and Directives	1	2000 Direction u/s. 170 of the C.O. 1984	CCD/CSD/INS/SCD	Phase II	10	2	2	2	2	2	15		Н
193	Directions and Directives	2	Direction u/s. 472 of the C.O. 1984	CCD/CSD/INS/SCD	Phase II	10	2	2	2	2	2	15	ı	H
194	Appeal and Revision	1	Appeal against refusal for registration of transfer or	, , ,		7	6	5	2	2	3	37	Ĺ	
			transmission of shares of a private or unlisted public company. (Section 78A)	CCD	Phase II									М
195		2	Appeal against the order of an Additional Registrar, Joint			7	6	5	2	2	3	37	L	
			Registrar, Deputy Registrar or an Assistant Registrar.	CCD	Phase II									Н
196		3	(Section 468) Appeal against the order passed or upheld by the Registrar			7	6	5	2	2	3	37	L	
170			in appeal. (Section 468)	CCD	Phase II	,			-	-		3,		Н
197		4	Appeal u/s. 477	CCD/CSD/INS	Phase II	10	2	2	2	2	2	15	L	Н
198		5	Revision application under Section 484.	CCD/CSD/INS/SCD	Phase II	15	14	2	1	2	1	44	L	М
199 200		6 7	Appeal under Modaraba Ordinance	SCD AB	Phase II Phase II	16	13	3	9	2	1 -	44	L	L
200	Adjudication and Review	1	Appeal to the Appellant Bench under section 33 Imposition of fine and other penalties for the defaults	CCD/CSD/INS/SMD/SCD	Phase II	12 10	6	2	5	3 5	5 2	47 28	L	H H
202	rajuateuron una revien	2	Suspension of licence/registration	CCD/SCD/INS/SMD	Phase II	15	11	2	1	2	1	44	Ĺ	i.
203		3	Cancellation of licence/registration	CCD/SCD/INS/SMD	Phase II	15	11	2	1	2	1	44	L	M
204		4	Review application	CCD/SCD/INS/CSD	Phase II	3	5	2	1	2	1	6	L	M
	Merger and amalgamation	1	Company											
205		i	An interface for consolidation of record of "Transferor	CCD	Phase II	11	11	13	3	3	5	100	Н	н
206	 	ii	Company" into "Transferee Company" Review of scheme of arrangement of Listed and Non-Listed			10	2	2	2	2	2	15	L	
	1		Companies other than Banks and NBFCs	CSD/CCD/PLAD	Phase II									Н
	1	iii	Merger of NBFCs	SCD	Phase II	22	15	2	1	2	1	44	L	L
207	↓	2	Funds		Phase II	ļ		ļ						
208		i	To approve division or amalgamation of statutory funds. (Section 14(8) of the Insurance Ordinance, 2000)	INS	Phase II	3	3	3	2	2	2	6	L	L
209	†	ii	Merger of Notified Entities Merger of Notified Entities	SCD	Phase II	18	14	2	1	2	1	44	L	L
210	Dissolution of Company	1	Companies Easy Exit Scheme (CEES)	CCD	Phase I	11	11	6	3	3	6	72	Н	Н
211		2	Striking off the company name from register of Companies	CCD	Phase II	11	11	6	3	3	6	72	Н	н
			i Under Continue 420	CCD		1	-	!		1	 	1		- "
			i Under Section 439 ii Under Section 8 of the T.O. Act 2013	CCD				+			1			+
			iii Any other ground.	CCD		 		 		1	<u> </u>			
212		3	Voluntary winding up	CCD	Phase II	11	11	6	3	3	6	72	Н	Н
		i	By members	CCD								<u> </u>		
		ii	By creditors	CCD			_							
213		4	Winding up by court - Internal	CCD	Phase II	9	7	5	2	2	3	37	L	L
214	Restoration of company, license and registration	1	Restoration of company u/s. 439.	CCD	Phase II	9	7	6	3	3	5	45	L	Н
		i	On application by the company u/s. 439(9)	CCD										
		ii	Under the Court order u/s. 439(6)	CCD										

		iii	Under the Court order u/s. 429	CCD			1					1		$\overline{}$
		iv	Any other ground	CCD										+
215		2	Restoration of licence and registration	SMD/SCD	Phase II	20	12	3	4	4	2	44	-	+
216	Correspondence	i	All inter-department and external correspondence	All Dept.	Phase II	8	5	3	5	5	3	35	1	н
217	Advisory	1	PLAD Advisory	ли Берс	Phase II	10	5	5	5	5	3	41	i i	Н
			Opinion on various issues referred by the different			10			,					† · · · ·
		i.	departments/division	All Dept.										
		ii.	Drafting and vetting of contracts, letters, general and special	All Dept.										
			orders etc											
218		2	Reviewing Trust Deeds, Offering Documents and Prospectus			5	2	3	3	3	5	13	L	
			for the Shari'ah oversight role.	IFD	Phase II									Н
219		3	Proposing suggestions Regarding Shari'ah in the drafted			10	5	5	5	5	3	41	L	T
			laws and exposure drafts.	IFD	Phase II									Н
	Y		D. V. 11.1		74 77									
220	Legislation	1	Primary Legislation	All Dept.	Phase II	10	5	5	5	5	3	41	L	Н
		i	Proposed legislation for legal research, review and vetting	All Dept.										
														†
		ii	Proposed amendments in existing laws administered by the	All Dept.										
			Commission for legal research, review and vetting	_										
		2	Rules	All Dept.										
		i	Proposed rules for legal research, review and vetting	All Dept.				1		1	1		1	1
		ii	Proposed amendments in existing rules for legal research,	All Dept.				1		1	1		1	
-		3	review and vetting Regulations	All Dept.			1	 			+			+
	+						1	 		 	+	1	 	+
		i	Proposed regulations for legal research, review and vetting	All Dept.				I		I	1		I	
		ii	Proposed amendments in existing regulations for legal	All Dept.										1
			research, review and vetting											
		4	Code/Guidelines/Notifications etc	All Dept.										<u> </u>
		i	Proposed codes/guidelines and legal review and vetting of	All Dept.										
		5	notifications/circular etc Assignments referred by the Federal Ministeries	*			1			-	-		-	+
		,	Primary and secondary legislation, Bilatereal Investment											+
		i.	Treaties (BITs), Memorandums of Understanding (MOUs),	National & International										
			etc.											
221	Miscellaneous	1	Miscellaneous for ONLINE submission	CCD/INS	Phase I	5	6	5	2	2	3	28	L	M
		i	With payment	CCD/INS										
		ii	Without payment (internal)	CCD/INS										
222	Refund of fee	1	Application for refund of fee.	CCD/INS	Phase I	6	5	6	2	2	5	36	L	M
223	File Management System	1	File management module for record room (only for internal	All Dept.	Phase II	5	5	3	3	3	3	13	L	Н
224	RRDB	1	Development of Data Rectification System (DRS) for quick	-		5	3	11	2	2	3	62	М	+
224	KKDD	•	data updating as already requested by the CCD. (internal	CCD	Phase I	3	3	11	2	2	3	02	IVI	н
			only)											
225	Regulatory Fee	1	Regulatory Fees under the NBFC and Notified Entities	SCD	Phase II	9	8	2	1	1	1	24	L	н
	n 1. n	2	related laws											
226	Regulatory Fee	2	Annual Supervision Fee under the Insurance Ordinance	INS	Phase II	3	4	3	4	4	2	6	L	Н
227		3	Federal Insurance Fee under the Insurance Ordinance	INS	Phase II	3	4	3	4	4	2	6	L	н
228	Surveillance of Accounts & AGM of	1	Sectoral aand Risk Analysis			5	10	10	10	10	10	56	M	
	Listed Companies.		, and the second	CSD	Phase II	-			==				***	Н
229		2	Timely holding of AGM analysis	CSD	Phase II	5	10	10	10	10	10	56	M	Н
230	Commission Secretariat Management			Comm. Sec.	Phase II	12	7	4	12	10	10	68	M	н
	System	1												+
		1	Receipt/Examination & circulation of all working papers	Comm. Sec.										
		2	Issuance of notices	Comm. Sec.										1
		3	Agenda of meetings	Comm. Sec.										1
		4	Inclusion of any other business during meeting	Comm. Sec.			İ	1		1	1		1	1
		5	Procedings of meeting	Comm. Sec.										1
	_	6	Preparation of minutes	Comm. Sec.										
		7	Review and finalization of minutes	Comm. Sec.										
		8	Clearance of minutes by the Chairman	Comm. Sec.										
		9	Circulation of minutes to Commissioners	Comm. Sec.										
		10	Confirmation of minutes	Comm. Sec.				ļ		ļ	1		ļ	
		11	Follow up of previous decisions	Comm. Sec.				ļ		ļ	1		ļ	1
l		12	Implementation report	Comm. Sec.				1		1	1		1	1
231	Prosecution and Civil Litigtaion	1	Initiating Civil Proceedings	Referring Department and PLAD	Phase II	10	5	5	5	5	3	41	L	н
	Management System		o (IV - m - v womyn				1	1		1	+		1	+
	 	i	Case referral Note (Form 1) ("CRN")				1	 		 	+	1	 	+
		ii. iii.	Case assessment Note (Form-2) ("CAN")				 	 		1	+		1	+
<u> </u>			Pre-litigation advice			+	1	 		 	 	 	 	+
	i l	iv.	Decision for litigation (Form-3)			1	1	1		1	1	1	1	1

	I	1	Decision in respect of CRN and CAN (Form-4)			1	1	1		1	1		1	,
\vdash	}	v.									<u> </u>			
	 	vi.	Approval for appointment of counsel								ļ			
	 	vii.	Filing in the court	8.6.1.8							ļ			
		2	Initiating Criminal Proceedings	Reffering Department										
		i.	Case referral Note (Form 1) ("CRN")											
		ii.	Opinion in respect of initiating criminal proceeding (Form 5)											
		iii.	Authorization for filing criminal proceeding											
		iv.	Filling in the Court	PLAD										
		3	Defending a Case	Defending Department										
		i.	Case defending Note ("CDN") (Form 7)											
		ii.	Assigning the case to a litigation Officer (Form 8)	PLAD										
		iii	Filing comments in Court (Form-18)	PLAD										
		4	Engagement of external Counsel and payment of professioanl fee	PLAD										
		i	Approval as per Delegation of Financial Authority ("DFA")	PLAD										
		ii.	Issuance of appointment letter to external Counsel (Form 9)	PLAD										
		iii.	Advance 50% professional fee (Form 11)	PLAD										
		iv.	Letter forwarding payment to the Counsel (Form 12)	PLAD										
		v.	Payment of balance fee to the Counsel (Form 13)	PLAD										
		vi.	Termination of services of the external counsel (Form-14 & 15)	PLAD										
		vii.	Case rassigning note (Form-17)	PLAD										
		5	Closing of case	PLAD										
		i.	Note for intimation of orders (Form-19)	PLAD										
		ii.	Follow up actions requried to be taken pursuant to orders	PLAD										
		iii.	Appeal processs against final/interim order	PLAD										
		iv.	CCIS updation	PLAD										
		6	Reporting requirements	PLAD										
		i.	Departmental litigation progress report (Form 21)	PLAD										
		ii.	Consolidated litigation report (Form 22)	PLAD										
232	Purchase Process	1	End to End Solution	Admin	Phase II	12	8	4	5	5	5	60	М	М
233	Vehicle Fleet Management System	1	End to End Solution	Admin	Phase II	8	6	2	4	3	3	31	L	М
234	Travel Desk Management System	1	End to End Solution	Admin	Phase II	4	2	2	3	3	2	6	L	М
				Total Processes (Phase I) :	92									
				Total Processes (Phase II) :	142									